BEFORE THE ALASKA PUBLIC OFFICES COMMISSION

Roger Griffin,	)	
Complainant,	)	
	)	Case No. 16-02-POFD
vs.	)	
Daniel Henry, Assembly Member, Municipality of Skagway	)	
Respondent.	)	

NOTICE OF HEARING AND PROCEDURAL ORDER

A hearing in this case will take place before the Alaska Public Offices Commission at approximately 10:00 a.m. on Tuesday, October 25, 2016, at 2221 E. Northern Lights Blvd., Room 128, Anchorage, Alaska.

The commissioners will be present in person or by telephone and will receive evidence regarding this matter. You may be present at the hearing, either in person or by telephone, and you may be, but are not required to be, represented by an attorney or agent.

If you wish to participate by telephone and are an individual who requires a special accommodation to participate, you must advise the commission office on or before October 1, 2016, so that a teleconference or special accommodation can be made.

PREHEARING AND HEARING PROCEDURES

1) **Parties.** The parties in this case are Commission Staff and Respondent.
2) **Issues.** At the hearing, the Commission will consider whether Respondent filed falsified or incomplete and incorrect Public Official Finacncial Disclosuer (POFD) statements.

3) **Procedural history.** Roger Griffin filed the complaint on March 11, 2016. Respondent did not file a response to the complaint. Staff's investigation report recommending an assessment of civil penalties was issued on April 12, 2016, and Respondent filed a response to the report on May 9, 2016. In that response he requested that his hearing, originally scheduled for June 8, 2016, be postponed to a later date. The postponement was approved on May 10, 2016.

4) **Hearing procedures.** The hearing will be conducted as provided in AS 15.13.380, 2 AAC 50.891, and the Alaska Administrative Procedure Act, AS 44.62.330 – 44.62.630. All testimony must be presented or submitted under oath. A party may call witnesses, cross-examine witnesses, present and rebut evidence. If the respondent does not testify, the respondent may be called and examined as if under cross-examination.

5) **Evidence and exhibits.** All relevant evidence may be admissible at the hearing. In passing upon the admissibility of evidence, the Commission may consider, but is not bound to follow, the rules of evidence governing general civil proceedings in the courts of the State of Alaska. The Commission may exclude inadmissible evidence and order repetitive evidence discontinued.

6) **Prehearing filings.** No later than October 10, 2016, a party:
   a) may file a list of witnesses expected to testify at the hearing;
   b) may file copies of exhibits to be presented at the hearing that are marked and identified (for example, Resp.'s Ex. A);
   c) may file a prehearing memorandum;
   d) may file prehearing motions, including motions to dismiss, for summary judgment, or to exclude evidence, and
   e) shall serve all parties and the Complainant with filings submitted.

7) **Response to motions and requests for subpoenas.** No later than October 17, 2016, a party
   a) may respond to a motion; and

b) may request the Commission to issue subpoenas to compel the attendance of witnesses, the production of documents, or other things related to the subject of the hearing, and is responsible for serving the subpoena and paying the appropriate witness fee.

8) **Extensions of time.** Requests to extend the deadlines in this order must be in writing, filed with the Commission, served on all parties and the Complainant, and supported by good cause.

9) **Copies of filings, motions and requests.** A party must submit eight copies of all filings, motions and requests filed for consideration in a hearing, at the time they are first submitted.

10) **Burden of proof.** The Commission staff has the burden to prove any charges by a preponderance of the evidence.

11) **Order of proceedings.** Matters considered at a hearing will ordinarily be disposed of in substantially the following order:

a) pending motions;

b) complainant may present argument under 2 AAC 50.891(d)

c) opening statements by Commission Staff and Respondent;

d) presentation of cases as follows, unless otherwise ordered by the Commission:

i) The Commission Staff's direct case, including the investigative report, evidence, and testimony of witnesses;

ii) Respondent's direct case;

iii) Rebuttal by the Commission Staff; and

iv) Closing statements by Respondent and Commission Staff.

11) **Decision and Order.** The Commission will issue an order no later than 10 days after the close of the record.

Dated: August 23, 2016

Paul Dauphinais, Executive Director
Alaska Public Offices Commission

I hereby certify that on this date, I caused a true and correct copy of the foregoing to be delivered as indicated to the following:

Daniel Henry PO Box 279 Skagway, AK 99840 sfcalaska@hotmail.com	☒ Email ☒ Certified Mail
Roger W. Griffin PO Box 423 Skagway, AK 99840 taiyamarine@gmail.com	☒ Email ☒ U.S. Mail

9171 9690 0935 0107 6995 24

Maria Bulfa 8/23/2016

Law Office Assistant Date

From:	Microsoft Outlook
To:	sfcalaska@hotmail.com; taiyamarine@gmail.com
Subject:	Relayed: 16-02-POFD Roger Griffin v. Daniel Henry, Assembly Member: Notice of Hearing & Procedural Order
Date:	Tuesday, August 23, 2016 8:28:39 AM
Importance:	High

Delivery to these recipients or groups is complete, but no delivery notification was sent by the destination server:
HYPERLINK "mailto:sfcalaska@hotmail.com"sfcalaska@hotmail.com (sfcalaska@hotmail.com)
HYPERLINK "mailto:taiyamarine@gmail.com"taiyamarine@gmail.com (taiyamarine@gmail.com)
Subject: 16-02-POFD Roger Griffin v. Daniel Henry, Assembly Member: Notice of Hearing & Procedural Order

From:	Microsoft Outlook
To:	Dauphinais, Paul R (DOA)
Subject:	Delivered: 16-02-POFD Roger Griffin v. Daniel Henry, Assembly Member: Notice of Hearing & Procedural Order
Date:	Tuesday, August 23, 2016 8:28:38 AM
Importance:	High

Your message has been delivered to the following recipients:
HYPERLINK "mailto:paul.dauphinais@alaska.gov"Dauphinais, Paul R (DOA) (paul.dauphinais@alaska.gov)
Subject: 16-02-POFD Roger Griffin v. Daniel Henry, Assembly Member: Notice of Hearing & Procedural Order

ALASKA PUBLIC OFFICES COMMISSION
2221 E. Northern Lights, Room 128
Anchorage, Alaska 99508-4149

neopost
FIRST-CLASS MAIL
08/23/2016
US POSTAGE $000.46[5]

ZIP 99508
041L10243475

Roger Griffin
P. O Box 423
Skagway Ak
99840

THE STATE of ALASKA
GOVERNOR BILL WALKER

Department of Administration
ALASKA PUBLIC OFFICES COMMISSION
2221 E. Northern Lights Blvd., Rm. 128
Anchorage, AK 99508-4149
Main: 907.276.4176
Fax: 907.276.7018
www.doa.alaska.gov/apoc

TO: APOC Commissioners
DATE: April 12, 2016
FROM: Paul Dauphinais, Executive Director
SUBJECT: Staff Report – 16-02-POFD
Roger Griffin v. Assemblyman Daniel Henry

SUMMARY OF COMPLAINT

On March 11, 2016 Mr. Griffin filed a complaint with APOC against Mr. Henry.[1] Skagway assembly member Daniel Henry has been the subject of an Internal Revenue Service (IRS) investigation regarding his tax returns from 2004 to 2012.[2] Mr. Henry entered into a plea agreement filed February 3, 2016, in federal court concerning the allegations regarding his tax returns.[3] The complaint alleges that Mr. Henry falsified his Public Official Financial Disclosure (POFD) statements covering calendar years 2009, 2010, 2011, and 2012.

Respondent did not provide a reply to the complaint.

BACKGROUND

In February of 2016 staff received telephone communications from the general public concerning Mr. Henry's plea agreement after it appeared in the media. Staff took no actions on those calls and did not make any investigation into the matter as no formal complaint was made. The current complaint makes the general allegation that Mr. Henry's POFDs for the years listed above were false.

ISSUES

1. Were Mr. Henry's POFDs for the years 2009, 2010, 2011, and 2012 falsified?
2. If the filings were not falsified were the filings correct and complete?

---

[1] Exhibit 1, complaint and supporting materials.
[2] Information regarding the IRS investigation is contained in the materials that accompany the complaint.
[3] The Plea Agreement is contained in the complaint material.

FACTS

- Mr. Griffin is a qualified voter in the State of Alaska as required by AS 39.50.100(b).[4]
- Mr. Henry has served as an assembly member for the municipality of Skagway since 1998.[5]
- As an elected municipal assembly member Mr. Henry is required to file an annual POFD.[6]
- Mr. Henry filed a POFD in 2010, 2011, 2012, and 2013 on time. Each filing covered financial activity in the preceding calendar year.[7]
- The business listed on his POFD is the Skagway Fish Company. This business is a restaurant.
- Mr. Henry has held an active business license as the sole proprietor of Skagway Fish Company since April 2003.[8] He also held a now expired license for the same business from October 1995 to December 1999 as well as another expired business license for a different business in Skagway.
- His 2010 filing covering 2009 shows that he had self-employment income, but the amounts have been marked over and are unreadable.
- His POFD filed in 2011 covering 2010 shows no income whatsoever for him or his spouse, the same is true for his 2012 filing covering 2011, and his 2013 filing covering 2012.
- Only the filing submitted in 2013 covering 2012 was audited by APOC staff. This filing was questioned by APOC staff at the time. There is no record to show that APOC staff followed up on its question.
- Mr. Henry has been under investigation by the Internal Revenue Service regarding several years of his tax returns and he did enter in a plea agreement with the federal government concerning his tax returns.

[4] AS 39.50.100; Exhibit 2 Division of Elections voter registration.
[5] See Exhibit 1, in the newspaper article that accompanies the complaint and verified by APOC staff with the Skagway Municipal Clerk, Emily Deach via phone on March 14, 2016 at approximately 11:54 AM.
[6] AS 39.50.200(a)(8)
[7] Exhibits 3, 4, 5, and 6.
[8] Exhibit 7

LAW AND ANALYSIS

AS 15.13.380(b) and AS 39.50.100(b) both state that a complaint may be filed within five years of an alleged violation. This complaint was filed on March 11, 2016, which would make the period subject to review under the complaint from March 11, 2011, to March 10, 2016. Because of this limitation the allegations regarding Mr. Henry's POFD filed on March 15, 2010 covering 2009 is outside of the ability of APOC staff to include in this complaint. Only the filings made from 2011 to the present can be addressed in this investigation. This investigation will cover those filings made on March 15, 2011, March 15, 2012, and March 15, 2013.

AS 39.50.030 specifies the contents of financial disclosure reports in section (b)(1) with information for all sources of income over $1,000 to be reported. Regulation 2 AAC 50.700 gives specific guidance concerning the reporting of income from self-employment. A filer "who is self-employed by means of a sole proprietorship, partnership, limited liability company, or professional corporation shall report the applicable information required in AS 39.50.030 (b)(1) for each source of income as provided in AS 39.50.200 (10)". AS 39.50.200(a)(10), "Definitions", further clarifies what sources of income are to be reported;

> (10) "source of income" means the entity for which service is performed or that is otherwise the origin of payment; if the person whose income is being reported is employed by another, the employer is the source of income; but if the person is self-employed by means of a sole proprietorship, partnership, limited liability company, professional corporation, or a corporation in which the person, the person's spouse or domestic partner, or the person's dependent children, or a combination of them, hold a controlling interest, the "source" is the client or customer of the proprietorship, partnership, limited liability company, or corporation, but, if the entity that is the origin of payment is not the same as the client or customer for whom the service is performed, both are considered the source.

1. Self-employment income of over $1,000 must be reported

All sources of income over $1,000 received during the preceding year must be reported on a POFD.[9] Self-employment income is to be reported; "a legislative branch filer, public official, or candidate who is self-employed by means of a sole proprietorship, partnership, limited liability company, or professional corporation shall report the applicable information required in AS 39.50.030 (b)(1) for each source of income as provided in AS 39.50.200 (10)."[10] Mr. Henry was

[9] AS 39.50.030(b)(1)
[10] 2 AAC 50.700(a); see also 2 AAC 50.685 concerning the $1,000 threshold of reporting income.

and is currently the sole proprietor of Skagway Fish Company. As the sole proprietor he was and is required to report all income over $1,000 as self-employment income.

The filings that are the basis for this investigation show no income from self-employment. In some cases this could be considered an oversight; however, in each case the filer provided the POFD on a paper form which is allowed for municipal filers in municipalities with populations less than 15,000. The paper form has a small block just above and to the right of each reporting area showing the word "None". In each of the three filings submitted in this case the box is checked as being "None" for the type of income listed. It would appear that the filer deliberately checked the "None" box on each filing and did not report the income.

There are two further points to be examined here; first, the total aggregate income, and second, the income provided by individual clients.

Total Aggregate Income

The total aggregate income from self-employment is the total received. For instance, a business receiving $50 for a service would not be required to report that income if that was the only income received. However, a business providing a service for payment at $50 for a service each time it is rendered and providing that service 21 times, once each to 21 different consumers, would be receiving $1,050 and that amount would need to be reported as a source of income.

Skagway Fish Company is a restaurant. Assuming a meal price of $20 per person it would not be difficult to have income over the $1,000 threshold. In his plea agreement respondent agreed to pay "restitution in the amount of $600,064 to the Internal Revenue Service, which represents the tax due and owing for the years 2004 through 2012, exclusive of interest and penalties."[11] Additionally, as noted in the plea agreement, there a total of ten cash deposits each over $9,000 and seven cashier's check purchases for over $9,000 each particularly in 2010 and 2012. These deposits and purchases show income of over $1,000 at least in those years.[12] The average tax owed then is $66,733 per year noted inclusive. Recognizing the tax to be at that level it is clear that the income received was well above the $1,000 minimum reporting requirement and should have been reported, at least as an aggregate amount.

[11] Plea agreement Section II, F at page 8 of 17.
[12] Plea agreement Section II, C at pages 5 and 6 of 17.

Income Provided by Individual Clients

As noted in AS 39.50.200(a)(10), the source of income "is the client or customer". Assuming, as above, the average price of a meal is $20, it would take 50 average meals for a specific client to be reported as a source on income under AS 39.50.030. In some cases this requirement comes into play where a service is provided over the course of time and the payment is based on an hourly fee. A restaurant is a business where payment can be made via credit card, debit card, or cash and the service is provided on an as-you-come basis.

There is no requirement for a business to keep records to identify each client or customer in a transaction involving less than $1,000 if the business does not record the names of customers in the ordinary course of its business or for accounting.[13] Restaurants conduct business on a reservation as well as a walk-in basis. There would be no reason for this or other restaurants to keep records by name of each customer paying for a meal or meals.

For a specific customer to be listed that customer would have had to purchase approximately 50 meals over the course of a year at Skagway Fish Company. While it is quite possible that this may have occurred during the years in question there is no requirement for the business to keep such detailed records.

Prior to 2011, regulations allowed retail customers to not be reported.[14] Customers in the preceding paragraph's situation would fall under this rubric. The regulation was revised in 2011 to enable businesses that work on a retail basis some relief from client reporting requirements.

While the total aggregate income should have been reported, there is no need for specific clients or customers to be listed as sources of income.

2. Whether respondent's lack of reporting was intentional

Although there is no conclusive evidence to show that respondent deliberately misrepresented his income for the years in question, it was noted above that the filer did "check" the box labelled as "None". A filer must make a positive decision to mark the "None" box either on paper or on an electronic POFD. However, considering that he has admitted not filing income taxes for the same years as part of his plea agreement, it appears that his intent was to not report income to either the IRS or to APOC.

[13] 2 AAC 50.700(d).
[14] See Advisory Opinion 11-06-POFD, Alsworth.

The respondent did note that he had a business interest in Skagway Fish Company and provided that information on all the POFDs that are the subject of this investigation.

3. Other issues with these reports

None of the POFDs relevant to this complaint have information concerning either the respondent's or his spouse's PFDs. Is not reporting PFDs for a filer and family another violation in these reports? Reporting and substantial compliance are addressed in the Commission's February 2010 order on the same topic.[15] In this case, the amount of the annual PFD is publically available and noted in the media the year before any financial disclosure report is due. According to the Commission's 2010 order, an error creates no significant harm to the public if "the missing or incomplete information is readily available to the public through another forum". As a result, the information for PFDs, although missing, is not considered to be complaint worthy.

Staff requested information from Mr. Henry concerning his income for the years in question and did not receive a response from him. While this information would have provided some insight into the complaint, it is not required to show that his POFDs submitted in 2011, 2012, and 2013 were indeed incomplete. Considering that he owed roughly $66,000 in taxes on his income for those years, and nothing was reported on his POFD for those years, his reports were incomplete. Completeness or incompleteness is the issue to be shown, not the level of income not reported.

CONCLUSION

Mr. Henry's POFD statements filed in 2011, 2012, and 2013 are incomplete in that they did not contain information about his self-employment income from Skagway Fish Company. This unreported income appears to be a substantial amount based on the average tax owed per year. Additionally, information concerning the Henrys' PFDs is not included on the reports, but this is covered under the Commission's order on substantial compliance and not complaint worthy. There is insufficient evidence to make a definitive conclusion about whether this was done deliberately or inadvertently.

[15] See the Commission's Order on Substantial Compliance, http://doa.alaska.gov/apoc/pdf/CommissionOrderSubstantialCompliance100621.pdf .

MAXIMUM CIVIL PENALTIES

All violations in this matter incur a civil penalty of not more than $10/day for each day the violation continues.[16]

Report filed March 15, 2011 to March 11, 2016 - 1,821 days @$10 per day, or $18,210;

Report filed March 15, 2012 to March 11, 2016 – 1,466 days @ $10 per day, or $14,660;

Report filed March 15, 2013 to March 11, 2016 - 1,091 days @ $10 per day, or $10,910

The combined maximum penalty for all violations is **$43,780.**

APOC staff has spent approximately 14 hours investigating the complaint and writing this staff report @ $42.50 per hour for a total cost of $595.

MITIGATION AND RECOMMENDATION

There are no mitigation criteria clearly applicable to this matter.

Standard mitigation criteria allows for a reduction of greater than 50% up to complete waiver when a missing report is available to the public through another venue.[17] In this matter the information was not available through another venue.

The respondent is an experienced filer, but has had one late report in the past five years (2014, not a subject of this complaint) so neither the inexperienced filer nor good filing history factors apply.

Staff invites the Commission's attention to 2 AAC 50.865 (d) which notes that a civil penalty "may be increased to the maximum amount allowed under the applicable statute if a person required to file a statement of other filing has failed to substantially comply with financial disclosure requirements by omitting a significant source of income…; or a poor reporting history". A poor reporting history includes more than one late filing in the immediately preceding five years; evidence suggesting deliberate non-reporting; or failure to cooperate with staff.[18]

Was there harm to the public? There was no request for respondent's POFDs by any party and the complaint was not filed until well after respondent's plea agreement was made

[16] AS 39.50.135.
[17] 2 AAC 50.865(b)(5).
[18] 2 AAC 50.865(d).

public. Had it not been for the publication of the plea agreement this complaint may never have been filed. While there was clearly harm to the public, staff sees that harm as troublesome, but not something that was apparent in any public policy decisions made by respondent as a member of the Municipality of Skagway Assembly.

A more serious concern is that an elected public official apparently decided to submit a significantly incomplete public document meant to provide transparency to the public regarding that official's financial situation and any potential for a conflict of interest in conducting public affairs. Respondent failed to make reasonable disclosures and deprived the public the right to know the financial interests of a person holding public office, a compelling state interest "to discourage public officials from acting upon a private or business interest in the performance of a public duty".[19] Staff considers this the real harm to the public.

At the same time, the respondent is facing a very large fine imposed by the Internal Revenue Service as well as incarceration. Applying the maximum fine in light of these other penalties would not serve as a further deterrent to this type of activity.

In some previous POFD complaints, the full penalty was assessed for missing or incorrectly reported information.[20] In others, the penalty was mitigated for the same violation.[21]

In light of all circumstances, staff recommends Daniel Henry amend his reports to provide full and correct reporting within 30 days of the Commission's decision, and pay a civil penalty of $21,890.00, a 50% reduction of the maximum potential penalty. Staff does not recommend that staff time be added to the recommended penalty.

I hereby certify that on this date, I caused a true and correct copy of the foregoing to be delivered as indicated to the following:

Daniel Henry Municipality of Skagway P.O Box 415 Skagway, AK 99840 sfcalaska@hotmail.com	☒ Email ☒ Certified Mail	9171-9690-0935-0026-1775-78
Roger Griffin P.O Box 423 Skagway, AK 99840 taiyamarine@gmail.com	☒ Email ☒ U.S. Mail	9171-9690-0935-0026-1775-85

Maria Bulfa 4/12/2016
Law Office Assistant Date

[19] AS 39.50.010(b)
[20] Complaint 08-11-POFD, Pierre v. Begich; complaint 12-09-POFD, Natwick v. Jacko.
[21] Complaint 08-03-POFD, Starr v. Brand (50% reduction); complaint 12-05-POFD, Cullinane v. Bell (50% reduction). In both of these cases the staff recommended a complete waiver of the fine.

Statutes and Regulations Cited

AS 39.50.030. Contents of statements. (a) Each statement must be an accurate representation of the financial affairs of the public official or candidate and must contain the same information for each member of the person's family, as specified in (b) and (d) of this section, to the extent that it is ascertainable by the public official or candidate.

(b) Each statement filed by a public official or candidate under this chapter must include the following:

(1) for all sources of income over $1,000 during the preceding calendar year, including taxable capital gains, and for all gifts from a single source with a cumulative value exceeding $250 in a calendar year, received by the person, the person's spouse or domestic partner, or the person's dependent child,

(A) each source of the income or gift;

(B) the recipient of the income or gift;

(C) the amount of the income or value of the gift;

(D) a brief statement describing whether the income was earned by commission, by the job, by the hour, or by some other method;

(E) the approximate number of hours worked to earn the income; and

(F) unless required by law to be kept confidential, a description sufficient to make clear to a person of ordinary understanding the nature of each service performed and the date the service was performed;

(2) the identity, by name and address, of each business in which the person, the person's spouse or domestic partner, or the person's dependent child has an interest or was a stockholder, owner, officer, director, partner, proprietor, or employee during the preceding calendar year, except that an interest of less than $1,000 in the stock of a publicly traded corporation need not be included;

(3) the identity and nature of each interest in real property, including an option to buy, owned at any time during the preceding calendar year by the person, the person's spouse or domestic partner, or the person's dependent child;

(4) the identity of each trust or other fiduciary relationship in which the person, the person's spouse or domestic partner, or the person's dependent child held a beneficial interest exceeding $1,000 during the preceding calendar year, a description and identification of the property contained in each trust or relation, and the nature and extent of the beneficial interest in it;

(5) any loan or loan guarantee of more than $1,000 made to the person, the person's spouse or domestic partner, or the person's dependent child, and the identity of the maker of the loan or loan guarantor and the identity of each creditor to whom the person, the person's spouse or domestic partner, or the person's dependent child owed more than $1,000; this paragraph requires disclosure of a loan, loan guarantee, or indebtedness only if the loan or guarantee was made, or the indebtedness incurred, during the preceding calendar year, or if the amount still owing on the loan, loan guarantee, or indebtedness was more than $1,000 at any time during the preceding calendar year;

(6) a list of all contracts and offers to contract with the state or an instrumentality of the state during the preceding calendar year held, bid, or offered by the person, the person's spouse or domestic partner, or the person's dependent child, a partnership, limited liability company, or professional corporation of which the person is a member, or a corporation in which the person or the person's spouse, domestic partner, or dependent child, or a combination of them, hold a controlling interest; and

(7) a list of all mineral, timber, oil, or any other natural resource lease held, or lease offer made, during the preceding calendar year by the person, the person's spouse or domestic partner, or the person's dependent child, a partnership, limited liability company, or professional corporation of which the person is a member, or a corporation in which the person or the person's spouse, domestic partner, or dependent child, or a combination of them, holds a controlling interest.

(c) *[Repealed, Sec. 26 ch 25 SLA 1975]*.

(d) In addition to the requirements of (b) of this section, each statement filed under this chapter by a public official in the executive branch of state government other than the chair or a member of a state commission or board must include a disclosure of the formation or maintenance of a close economic association involving a substantial financial matter as required by this subsection. The disclosure must be sufficiently detailed so that a reader can ascertain the nature of the association. A public official shall disclose a close economic association with

(1) a legislator;

(2) a public official who is not an elected or appointed municipal officer;

(3) a lobbyist; or

(4) a public officer if the person required to make the disclosure is the governor or the lieutenant governor.

(e) If a public official required to disclose a close economic association under (d) of this section forms a close economic association after the date on which the public official files the financial disclosure statement required by (a) of this section, disclosure of the association must be made to the commission within 60 days after the formation of the association.

(f) When making a disclosure under (d) of this section concerning a relationship with a lobbyist to whom the public official is married or who is the public official's domestic partner, the public official shall also disclose the name and address of each employer of the lobbyist and the total monetary value received from the lobbyist's employer. The public official shall report changes in the employers of the spouse or domestic partner within 48 hours after the change. In this subsection, "employer of the lobbyist" means the person from whom the lobbyist received money, or goods or services having a monetary value, for engaging in lobbying on behalf of the person.

(g) The requirements in this section for disclosures related to a person's domestic partner do not apply to an elected or appointed municipal officer.

(h) In this section,

(1) "close economic association" means a financial relationship that exists between a public official required to disclose a close economic association under (d) of this section and some other person or entity, including a relationship where the public official serves as a consultant or advisor to, is a member or representative of, or has a financial interest in an association, partnership, limited liability company, business, or corporation;

(2) "lobbyist" has the meaning given in AS 24.60.990(a);

(3) "public officer" has the meaning given in AS 39.52.960.

AS 39.50.100. Enforcement by private citizens. (a) A qualified Alaska voter may bring a civil action to enforce any of the sections of this chapter.

(b) An action brought under (a) of this section must be brought within five years after the date of the alleged violation.

AS 39.50.135. Civil penalty: Late filing of required reports. A person who fails to file a properly completed and certified report within the time required by this chapter is subject to a civil penalty of not more than $10 a day for each day the delinquency continues as the commission determines subject to appeal to the superior court. An affidavit stating facts in mitigation may be submitted to the commission by a person against whom a civil penalty is assessed. However, the imposition of the penalties prescribed in this section or in AS 39.50.060 - 39.50.130 does not excuse that person from filing reports required by this chapter.

AS 39.50.200. Definitions. (a) In this chapter,

(1) "assistant to the governor or the lieutenant governor" includes any executive, legislative, special,

administrative, or press assistant to the governor or lieutenant governor, and any person similarly employed in a policy-making position;

(2) "child" includes a biological child, an adopted child, and a stepchild;

(3) "commission" means the Alaska Public Offices Commission created under AS 15.13.020(a);

(4) "domestic partner" means a person who is cohabiting with another person in a relationship that is like a marriage but that is not a legal marriage;

(5) "instrumentality of the state" means a state department or agency, whether in the legislative, judicial, or executive branch, including the University of Alaska;

(6) "judicial officer" means a person appointed as a justice to the supreme court or as a judge to the court of appeals, superior court, district court, or magistrate court;

(7) "mother or father" includes a biological parent, an adoptive parent, and a stepparent;

(8) "municipal officer" includes a borough or city mayor, borough assemblyman, city councilman, school board member, elected utility board member, city or borough manager, members of a city or borough planning or zoning commission within a home rule or general law city or borough, or a unified municipality;

(9) "public official" means

(A) a judicial officer;

(B) the governor or the lieutenant governor;

(C) a person hired or appointed in a department in the executive branch as

(i) the head or deputy head of the department;

(ii) the director or deputy director of a division;

(iii) a special assistant to the head of the department;

(iv) a person serving as the legislative liaison for the department;

(D) an assistant to the governor or the lieutenant governor;

(E) the chair or a member of a state commission or board;

(F) state investment officers and the state comptroller in the Department of Revenue;

(G) the chief procurement officer appointed under AS 36.30.010;

(H) the executive director of the Alaska Workforce Investment Board;

(I) each appointed or elected municipal officer; and

(J) the members of the board of trustees, the executive director, and the investment officers of the Alaska Permanent Fund Corporation;

(10) "source of income" means the entity for which service is performed or that is otherwise the origin of payment; if the person whose income is being reported is employed by another, the employer is the source of income; but if the person is self-employed by means of a sole proprietorship, partnership, limited liability company, professional corporation, or a corporation in which the person, the person's spouse or domestic partner, or the person's dependent children, or a combination of them, hold a controlling interest, the "source" is the client or customer of the proprietorship, partnership, limited liability company, or corporation, but, if the entity that is the origin of payment is not the same as the client or customer for whom the service is performed, both are considered the source.

2 AAC 50.685. Reporting sources of income and gifts

(a) In a disclosure statement required by AS 24.60.200 or AS 39.50.020 , a legislative branch filer, public official, or candidate shall report the applicable information required in AS 39.50.030 (b)(1) for all amounts greater than $1,000 received as

(1) compensation or benefits received from an employer, including a nonprofit entity, and a government employer; compensation or benefits include wages, salary, commissions, tips, bonuses, housing, and use of an automobile;

(2) dividends, interest, and other distributions of earnings from a business or investment;

(3) self-employment income as provided in 2 AAC 50.700;

(4) rental income as provided in 2 AAC 50.725; and

(5) any other income, including proceeds of a sale of goods or property, capital gains, pensions, retirement account cash-outs, government entitlements, alimony or child support payments, honoraria, and payments not otherwise accounted for.

(b) In a disclosure statement required by AS 39.50.020 , a public official or candidate shall report the applicable information required in AS 39.50.030 (b)(1) for any gift with a fair market value greater than $250, and for all gifts from a single source with a cumulative value greater than $250. A public official or candidate is not required to report an item received without consideration from a family member, a parent, nondependent child, sibling, grandparent, aunt, uncle, niece, or nephew. The requirements of this subsection do not apply to a legislative branch filer. However, nothing in this section relieves a legislative branch filer from a reporting requirement or limitation on acceptance of gifts in any other provision of law.

(c) The amount of any income more than $1,000 that must be reported, or the value of a gift more than $250 that must be reported, may be stated in a range rather than as an exact amount. The ranges to be used for this purpose are the following:

(1) more than $250 and no more than $1,000, for gifts only;

(2) more than $1,000 and no more than $2,000;

(3) more than $2,000 and no more than $5,000;

(4) more than $5,000 and no more than $10,000;

(5) more than $10,000 and no more than $20,000;

(6) more than $20,000 and no more than $50,000;

(7) more than $50,000 and no more than $100,000;

(8) more than $100,000 and no more than $200,000;

(9) more than $200,000 and no more than $500,000;

(10) more than $500,000 and no more than $1,000,000;

(11) more than $1,000,000.

History: Eff. 12/22/2011, Register 200

Authority: AS 15.13.030 AS 24.60.200 AS 24.60.220 AS 39.50.020 AS 39.50.030 AS 39.50.050

2 AAC 50.700. Reporting self-employment and business income

(a) In a disclosure statement required under AS 24.60.200 or AS 39.50.020 , a legislative branch filer, public official, or candidate who is self-employed by means of a sole proprietorship, partnership, limited liability company, or professional corporation shall report the applicable information required in AS 39.50.030 (b)(1) for each source of income as provided in AS 39.50.200 (10).

(b) A legislative branch filer, public official, or candidate who is self-employed by a corporation in which the legislative branch filer, public official, or candidate holds a controlling interest shall report the applicable information required in AS 39.50.030 (b)(1) for each source of income as provided in AS 39.50.200 (10). In this subsection, "controlling interest" means the legislative branch filer, public official, or candidate, alone or in combination with one or more family members, controls

(1) 50 percent or more of the ownership interest or voting shares of the corporation; or

(2) less than 50 percent if the legislative branch filer, public official, or candidate and all family members of the legislative branch filer, public official, or candidates jointly exert actual control as demonstrated by

(A) making decisions for the corporation without independent participation of other owners;

(B) exercising day-to-day control over the corporation's affairs;

(C) disregarding formal legal requirements;

(D) using corporation funds for personal expenses or investments, or intermingling corporation finances with personal finances; or

(E) taking other actions that indicate the corporation is a mere instrumentality of the legislative branch filer, public official, or candidate.

(c) A legislative branch filer, public official, or candidate who has an ownership interest in, but is not self-employed by means of, a business that is a source of income shall report income from that business as provided in 2 AAC 50.685(a) .

(d) Nothing in this section requires a business to keep records that identify each client, patient, or customer in a transaction involving less than $1,000 if the business does not record the names of customers in the ordinary course of its business, or for accounting or any other purpose required by law.

History: Eff. 12/22/2011, Register 200

Authority: AS 15.13.030 AS 24.60.200 AS 24.60.220 AS 39.50.020 AS 39.50.030 AS 39.50.050

2 **AAC 50.865. Mitigating factors; aggravating factors**

(a) A civil penalty determined under 2 AAC 50.855 may be reduced by up to 50 percent if

(1) a person required to file a statement or other filing

(A) has a good filing history; in this subparagraph, "good filing history" means

(1) no late filings in the immediately preceding five years; and

(2) no activity shown on the overdue report;

(B) is an inexperienced filer; in this subparagraph, "inexperienced filer" means a person required to file reports under this chapter if that person has been subject to a registration or reporting requirement for less than 365 days;

(2) a technical error at the commission, including a communication, facsimile machine, computer program, or other equipment problem may have contributed to the late or incomplete filing;

(3) any unreported or mistakenly reported information had a value of $100 or less; or

(4) any unreported or mistakenly reported information had a value higher than $100 but no more than $1,000, and a factor listed in (b) of this section also applies.

(b) A civil penalty set out in 2 AAC 50.855 may be reduced by a percentage greater than 50 percent, or waived entirely based on the following factors:

(1) the person required to file, or a family member of the person required to file, experienced a personal emergency, including a call for military service, a natural disaster, a civil disturbance, or an incapacitating illness that prevented the person from filing on or before the due date; this mitigating factor is only available to a natural person;

(2) a significant cause of the late filing is commission staff error, including

(A) furnishing reporting materials too late for filing on or before the due date;

(B) giving incorrect oral or written information to a person required to submit a statement or other filing;

(C) failing to deliver required notices when due; or

(D) confirmed technical problems with operation of commission equipment, including the electronic filing program;

(3) a municipal clerk or the clerk's designee failed to notify a municipal official, as provided in 2 AAC 50.850(f) , that the municipal official's filing is delinquent or incomplete;

(4) a late or erroneous report included only administrative costs in a group report;

(5) a late or incomplete report did not cause significant harm to the public, and aggravating factors under (d) of this section do not exist; for purposes of this paragraph, a late or incomplete report did not cause significant harm to the public if

(A) the dollar amount missing from a form or disclosure is $100 or less;

(B) the dollar amount for the information missing from a form or disclosure is more than $100 but no more than $1,000, and the filer self-reported the error; or

(C) the missing or incomplete information is readily available to the public through another forum;

(6) the civil penalty assessment is significantly out of proportion to the degree of harm to the public for not having the information; or

(7) a unique circumstance justifies reducing or waiving the penalty.

(c) The commission will not accept any of the following as mitigating factors to reduce the amount of a penalty:

(1) relying on another person or mailroom to mail, postmark, or submit the statement on or before a due date;

(2) forgetting to file;

(3) being a volunteer;

(4) having no change in reportable information from previous filed statements;

(5) relying on the responsible person's staff to remind the person of the filing deadline;

(6) being too busy to file;

(7) experiencing staff turnover, unless the turnover created turmoil serious enough to justify a finding of unique circumstances;

(8) absence caused by travel, unless the travel was unplanned or unavoidable, including travel for a personal emergency, or weather-related travel problems.

(d) A civil penalty determined under 2 AAC 50.855 may be increased to the maximum amount allowed under the applicable statute if a person required to file a statement or other filing has

(1) failed to substantially comply with financial disclosure requirements by omitting a significant source of income, interest in real property, business interest, loan, trust, or other substantial financial interest; in this paragraph, "substantial financial interest" means an interest with a value greater than $1,000; or

(2) a poor reporting history; indicators of a poor reporting history include any of the following:

(A) more than one late filing in the immediately preceding five years;

(B) evidence suggesting deliberate non-reporting;

(C) failure to cooperate with staff.

History: Eff. 12/22/2011, Register 200

Authority: AS 15.13.030 AS 15.13.390 AS 24.45.021 AS 24.45.141 AS 24.60.220 AS 24.60.240 AS 39.50.050 AS 39.50.135

THE STATE of ALASKA
GOVERNOR BILL WALKER

Department of Administration
ALASKA PUBLIC OFFICES COMMISSION
2221 E. Northern Lights Blvd., Rm. 128
Anchorage, AK 99508-4149
Main: 907.276.4176
Fax: 907.276.7018
www.doa.alaska.gov/apoc

March 15, 2016

Via U.S. Mail and Email
Daniel Henry
c/o Municipality of Skagway
PO Box 415
Skagway, AK 99840
sfcalaska@hotmail.com

Re: Complaint 16-02-POFD, *Roger Griffin v. Assemblyman Daniel Henry*

Dear Mr. Henry:

Our agency has accepted a complaint filed against you alleging violations of AS 39.50, Alaska's public official financial disclosure law.

This notification includes a copy of the complaint and supporting documents. Respondents have the right to file a response within 15 days of this notification. The response may respond to the allegations, state any defenses to the allegations, object to the complaint and include any relevant documentation, as provided under AS 15.13.380 and 2 AAC 50.880. The response should be served on complainant and is also a public document.

APOC staff will conduct an investigation in accordance with AS 15.13.380 and 2 AAC 50.875 to collect factual information, prepare a summary, and present recommendations to the Commission. Staff will provide you with a copy of its investigation report. The Commission may (1) assess a civil penalty if the evidence supports a violation, (2) dismiss the complaint if the evidence does not support a violation, or (3) approve a consent agreement if the APOC staff and the Respondents agree to a resolution. The Commission may also refer the case to the Attorney General. When the Commission schedules a hearing for the matter, you may participate in person or by phone. After the investigation concludes, the case file becomes a public document.

Should you choose to respond to the complaint, please submit their answer to the Anchorage APOC office, along with any relevant supporting documents, by no later than **Wednesday, March 30, 2016**.

If you have any questions, please feel free to contact me. Thank you for your cooperation.

Sincerely,

Paul Dauphinais
Executive Director

Enclosures: Complaint 16-02-CD

cc: Thomas Dosik, Assistant Attorney General
Roger W. Griffin

BEFORE THE ALASKA PUBLIC OFFICES COMMISSION

Roger W. Griffin, Complainant vs. Assemblyman Daniel Henry Respondent	)	Case No. 16-02-POFD

CERTIFICATE OF SERVICE

I hereby certify that on this date, I caused a true and correct copy of the Complaint Notification Letter and this Certificate of Service to be delivered as indicated to the following:

Assemblyman Daniel Henry Municipality of Skagway P.O Box 415 Skagway, AK 99840	☒ Email ☒ Certified Mail ☒ Return Receipt Requested
Roger W. Griffin P.O Box 423 Skagway, AK 99840 taiyamarine@gmail.com	☒ Email
Assisstant Attorney General Thomas Dosik 1031 W. 4th Ave #200 Anchorage, AK 99501 thomas.dosik@alaska.gov	☒ Email

RR: 9171-9690-0935-0026-1774-86

Signed 3.15.14
Date
Alaska Public Offices Commission

Alaska Public Offices Commission

COMPLAINT

FILING A COMPLAINT *To be accepted, complaint must include*	APOC LAWS ALLEGEDLY VIOLATED *Specify section of law or regulation*		*APOC case name/number/date*
1. Complainant's name + contact info 2. Respondent's name + contact info 3. Laws, regulations allegedly violated 4. Description of allegations 5. Basis of knowledge of alleged facts 6. Documentation to support allegations 7. Notarized signature of the complainant 8. Proof that complaint *and* all supporting documents were served on respondent	Campaign Disclosure Law	☐ AS 15.13 ☐ 2 AAC 50.250-405	ARRIVED MAR 11 2016 APOC - ANCH PM HC FAX ELE 3-8-2016 16-02-POFD
	Public Official Financial Disclosure	☐ AS 39.50 ☒ 2 AAC 50.680-799	
	Legislative Financial Disclosure	☐ AS 24.60 ☐ 2 AAC 50.680–799	
	Lobbying Regulation	☐ AS 24.45 ☐ 2 AAC 50.550–590	

If complaint meets requirements for acceptance, APOC will investigate the allegations and notify the respondent of the right to respond. APOC will notify Complainant and Respondent when APOC accepts or rejects a complaint.

	COMPLAINANT	RESPONDENT *Person or group allegedly violating law*	
☐ APOC ☒ Person ☐ Party ☐ Group	ROGER W. GRIFFIN	☒ Person ☐ Party ☐ Group	ASSEMBLYMAN DANIEL HENRY
Address City / Zip	P.O. BOX 423, SKAGWAY, AK 99840		MUNICIPALITY OF SKAGWAY P.O. BOX 415, SKAGWAY, AK 99840
Phone/Fax	907-983-2871		907-983-2297 FAX 2151
E-mail	FAIYAMARINE@GMAIL.COM		
	COMPLAINANT'S REPRESENTATIVE		**RESPONDENT'S REPRESENTATIVE**

If complainant or respondent is political party or group, list contact person. If complainant or respondent is represented by attorney, list name + contact info

Name/Title		
Address		
Phone/Fax		
E-mail		

DESCRIPTION or SUMMARY of ALLEGED VIOLATION	Use extra pages if needed	☒ SUPPORTING DOCUMENTS – DESCRIBE:
FALSIFIED POFD FILINGS FOR CALENDAR YEARS 2009, 2010, 2011 and 2012		PLEA AGREEMENT, US V. DANIEL HENRY, NO: 1:16-CR-00001-ACL FILED 02-03-16 (ATTACHED)

PROOF of SERVICE ATTACHED: ☐ Fax – receipt confirmation ☐ Certified mail – signed receipt ☐ Process server – return of service ☐ E-mail – delivery/read receipt ☐ Other:

COMPLAINANT'S SWORN STATEMENT: To the best of my knowledge and belief, these statements are true

Signature Roger W Griffin Title CITIZEN Date 02-29-2016

Subscribed and sworn to or affirmed by me at 9:30 AM on 2/29/16

Signature Kaylynn Ackerman Title Notary Public

APOC COMPLAINTS, RESPONSES, INVESTIGATION REPORTS & COMMISSION ACTIONS ARE PUBLIC DOCUMENTS

APOC ANCHORAGE	APOC JUNEAU	APOC COMPLAINT PROCESS: 2 AAC 50.450 - 476	
2221 E. NORTHERN LIGHTS #128	240 MAIN STREET #500	FILING COMPLAINTS : 2AAC 50.870	ANSWERING COMPLAINTS: 2AAC 50.880
ANCHORAGE, AK 99508	P.O. BOX 110222	APOC CRITERIA for ACCEPTING COMPLAINTS: 2 AAC 50.870	
907-276-4176 / FAX 907-276-7018	JUNEAU, AK 99811	INVESTIGATIONS & HEARINGS: 2 AAC 50.875-891	
TOLL-FREE 800-478-4176	465-4864 / FAX 465-4832	RULES for REQUESTING EXPEDITED CONSIDERATION: AS15.13.380(c)	
WEB: http://doa.alaska.gov/apoc/	APOC FORMS: http://doa.alaska.gov/apoc/forms_all.html	APOC LAWS: http://doa.alaska.gov/apoc/apoclaws.html	

NOTARY PUBLIC
Kay L. Ackerman
STATE OF ALASKA
My Commission Expires Jan.24, 2019

THE SKAGWAY NEWS.
SERVING THE GATEWAY TO THE KLONDIKE SINCE 1897.

ARRIVED
MAR 11 2016
APOC - ANCH
PM HC FAX ELE
3-8-2016

Assemblyman Dan Henry pleads guilty to four counts of federal tax charges

BY ELISE GIORDANO

Assemblyman Dan Henry has pleaded guilty to four misdemeanor counts of federal tax charges for failing to file timely income tax returns for his business, The Skagway Fish Company. Henry agreed to pay $600,064 in restitution to the Internal Revenue Service, not including penalties or interest. The agreement also recommended no more than 24 months of imprisonment and no less than eight.

According to the plea agreement, which was signed last week and submitted to the federal court on Tuesday, Henry knowingly failed to file returns from 2004 to 2012. From at least 2008 to 2012, Henry and an immediate family member, who acted without criminal intent,

WEB FONTS BY fonts.com

MAR 11 2016

conducted deposits and purchased bank checks between $9,000 and $9,900 for the purpose of evading the reporting requirements. From 2009 to 2012, the restaurant earned gross income between $529,267 and $665,820.

Henry also concealed his income on Alaska Public Offices Commission financial disclosure statements from 2010 to 2013, wherein he indicated that he had received no income over $1,000 from self-employment. APOC did not respond for comment.

ACE
The helpful place.

Skagway Hardware
Hardware · Lumber Yard · Furniture · Appliances · Home Goods
AND MUCH MORE
4th & Broadway, Skagway, Alaska
Monday - Friday 8 a.m. - 6 p.m. • Saturday - Sunday 8 a.m. - 5 p.m.

The plea agreement states that in failing to file his returns, Henry admits to intentionally violating the known legal duty to file a return, and not through ignorance, mistake, accident, or good faith belief that he was not required to file.

Henry submitted his plea to the courts in Juneau on Tuesday, with sentencing set to occur in May. But according to Assistant U.S. District Attorney Jack Schmidt, it will likely be held off until mid-summer, as Henry has a business to run.

Though the recommendations confine the U.S. Attorney's office and Henry to a sentence of no more than 24 months incarceration and no less than eight, final sentencing is up to U.S. District Court Judge Timothy Burgess, who could decide on a longer term or simply probation.

Sentencing largely depends on the defendant's previous criminal history, the nature of the offense, and his personal background.

Henry's attorney Robert Bundy said Henry was released without restrictions and was allowed to keep his passport, should he need to travel to Whitehorse, YT for supplies.

"It's a complete release," Bundy said.

WEB FONTS BY
fonts.com

MAR 11 2016

Henry has served on Skagway's assembly since 1998 and also serves as Finance Committee chair.

According to Skagway Municipal code, assembly members can be unseated for a variety of reasons, including conviction of a felony or of an offense involving a violation of the oath of office, as well as conviction of a felony or misdemeanor as described in the Election Offenses and Corrupt Practices, which states the election of a candidate to the state legislature or to municipal office who knowingly commits a corrupt practice or whose campaign treasurer or deputy campaign treasurer knowingly commits a corrupt practice is voidable under this section. According to municipal code, two-thirds of the members of the assembly must agree on the expulsion.

"WHAT HE IS CHARGED WITH IS NOT A FELONY. IT'S NOTHING THAT PRECLUDES HIM FROM BEING ABLE TO SERVE," SCHAEFER SAID. "THIS IS NOT ANYTHING TO DO WITH THE MUNICIPALITY."

Henry was reached by phone on Tuesday, but declined to make comment at the behest of his attorney.

The borough assembly was made aware of the charges immediately before its meeting on Feb. 4 when the story broke on KTUU, but the issue was not addressed. Mayor Mark Schaefer said assembly members barely had any time to discuss the news before the meeting was in session.

As for Henry's position at the table, the future remains uncertain.

"What he is charged with is not a felony. It's nothing that precludes him from being able to serve," Schaefer said. "This is not anything to do with the municipality."

Schaefer couldn't say much more and directed further questions to Skagway Borough Attorney Bob Blasco, who could not be reached for comment. Schaefer did say that he's not sure what the charges mean for Henry's future on the assembly.

"We'll just wait to see what happens, and then we'll take the next step," he said.

WEB FONTS BY fonts.com

MAR 11 2016

Henry has lived in Skagway since 1989 and purchased the Skagway Fish Co. in the late 90s and owned the Corner Café until it closed in the mid-2000s. He is a long-serving member of the Elks and is in charge of organizing events like Flag Day and the Veteran's Day dinner. While at the table, Henry has most recently been a negotiator for the municipality in regard to the Tidelands lease between the White Pass and Yukon Route railroad and MOS. His term expires in October 2017.

Elise Giordano

Leave a Reply

Name (required)

Mail (will not be published) (required)

Website

Submit Comment

WEB FONTS BY
fonts.com

MAR 1 1 2016

ARRIVED
MAR 11 2016
APOC - ANCH
PM HC FAX ELE
3-8-2016

KAREN L. LOEFFLER
United States Attorney

JACK S. SCHMIDT
Assistant U.S. Attorney
Federal Building & U.S. Courthouse
709 West 9th Street, Room 937
Post Office Box 21627
Juneau, Alaska 99802
Phone: (907) 796-0400
Fax: (907) 796-0409
Email: jack.schmidt@usdoj.gov

IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF ALASKA

UNITED STATES OF AMERICA, Plaintiff, vs. DANIEL HENRY, Defendant.	No. 1:16-cr-00001-LCL **PLEA AGREEMENT**

Unless the parties jointly inform the Court in writing of any additional agreements, this document in its entirety contains the terms of the plea agreement between the defendant and the United States. This agreement is limited to the District of Alaska; it does not bind other federal, state, or local prosecuting authorities.

I. TERMS OF AGREEMENT, FEDERAL RULE OF CRIMINAL PROCEDURE 11, WAIVER OF CLAIM FOR ATTORNEY'S FEES AND COSTS

A. Terms of Agreement

The defendant agrees to plead guilty to Counts One through Four of the Information in this case. The United States agrees not to prosecute the defendant further for any other offense related to the event that resulted in the charges contained in the Information. The parties are free to make sentencing recommendations consistent with this agreement. Any agreements the parties have on sentencing recommendations and guideline applications are set forth in Section III. The defendant will waive all rights to appeal the conviction and sentence imposed under this agreement, and will waive all rights to collaterally attack the conviction and sentence, except on the grounds of ineffective assistance of counsel or the voluntariness of the plea(s).

B. Federal Rule of Criminal Procedure 11

Unless the parties otherwise inform the Court in writing, Federal Rule of Criminal Procedure 11(c)(1)(A) and (B) will control this plea agreement. Thus, the defendant may not withdraw from this agreement or the guilty plea(s) if the Court rejects the parties' sentencing recommendations at the sentencing hearing.

C. Waiver of Claim for Attorney Fees and Costs

Because this is a negotiated resolution of the case, the parties waive any claim for the award of attorney fees and costs from the other party.

MAR 11 2016

II. CHARGES, ELEMENTS, FACTUAL BASIS, STATUTORY PENALTIES AND OTHER MATTERS AFFECTING SENTENCE, FORFEITURE

A. Charges

The defendant agrees to plead guilty to the following count(s) of the Information:

Counts One through Four: Willful Failure to File Income Tax Return, a violation of Title 26 U.S.C. § 7203.

B. Elements

The elements of the charge(s) to which the defendant is pleading guilty are as follows:

Counts One through Four: Willful Failure to File Income Tax Return

1. Defendant was required to file an income tax return;
2. Defendant failed to file a timely return;
3. Defendant acted willfully, intentionally violating a known legal duty.

C. Factual Basis

The defendant admits the truth of the allegations in Counts One through Four of the Information and the truth of the following statement, and the parties stipulate that the Court may rely upon this statement to support the factual basis for the guilty plea(s) and for the imposition of the sentence:

//

MAR-11 2016

The defendant received gross income in the years 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, and 2012 that the defendant knew required him to file a tax return by the required date. The defendant did not, however, file an individual income tax return, Form 1040, for the said years by the last day prescribed for the filing. The gross income received by the defendant in said years included gross income from the defendant's solely owned restaurant business, Skagway Fish Company, located in Skagway, Alaska.

In addition to failing to file returns in a timely manner, Defendant engaged in conduct intended, at least in part, to conceal and mislead the IRS as to his income by causing financial institutions to fail to file required reports to the United States Department of the Treasury. Separately, Defendant wrongfully failed to disclose his income in required reports to the State of Alaska.

On or about March 11, 2010, the defendant certified an Alaska Public Offices Commission (hereafter APOC) Financial Disclosure Statement covering the calendar year 2009, wherein the total income earned by the defendant from self-employment, specifically the business Skagway Fish Company, was stricken as to be illegible. The APOC form required the defendant to disclose any amounts of income over $1,000.

On or about March 15, 2011, the defendant certified an APOC Financial Disclosure Statement covering the calendar year 2010, wherein the defendant indicated he had no income over $1,000 from self-employment.

MAR 11 2016

On or about March 15, 2012, the defendant certified an APOC Financial Disclosure Statement covering the calendar year 2011, wherein the defendant indicated he had no income over $1,000 from self-employment.

On or about March 15, 2013, the defendant certified an APOC Financial Disclosure Statement covering the calendar year 2012, wherein the defendant indicated he had no income over $1,000 from self-employment.

From at least December 2008, and continuing through at least November 2012, the defendant, and an immediate family member acting at his direction but without criminal intent, structured or attempted to structure, at least in part, currency transactions to evade the currency transaction reporting requirements of 31 U.S.C. § 5313(a). The defendant had knowledge of the currency transaction reporting requirements; however, he, or others directed by him, conducted deposits and/or the purchase of bank checks in amounts between $9,000 and $9,900 for the purpose of evading the reporting requirement to include the following:

December 5, 2008	$9,500	Cash Deposit
December 8, 2008	$9,700	Cash Deposit
April 23, 2009	$9,900	Cash Deposit
August 4, 2009	$9,900	Cash Deposit
October 16, 2009	$9,000	Cash Deposit
October 29, 2009	$9,000	Cash Deposit
November 12, 2009	$9,400	Cash Deposit
November 16, 2009	$9,500	Cash Deposit
July 23, 2010	$9,900	Cashier's Check Purchase

MAR 1 1 2016

July 23, 2010	$9,900	Cashier's Check Purchase
July 26, 2010	$9,900	Cashier's Check Purchase
July 26, 2010	$9,900	Cashier's Check Purchase
July 26, 2010	$9,900	Cashier's Check Purchase
July 27, 2010	$9,900	Cashier's Check Purchase
July 27, 2010	$9,900	Cashier's Check Purchase
April 16, 2012	$9,500	Cash Deposit
November 6, 2012	$9,900	Cash Deposit

In failing to file his returns, the Defendant admits that he acted willfully, meaning that he intentionally violated the known legal duty to file a return, and not through ignorance, mistake, or accident, or a good faith belief that he was not required to file.

D. Statutory Penalties and Other Matters Affecting Sentence

1. Statutory Penalties

The statutory penalties applicable to the charges to which the defendant is pleading guilty, based on the facts to which the defendant will admit in support of the guilty plea(s), are as follows:

Counts One through Four: Failure to File Tax Return

1) 1 year of imprisonment on each count;

2) a maximum $100,000 fine on each count;

3) a $25 mandatory special assessment on each count; and

4) one year supervised release.

MAR. 1 1 2016

2. Other Matters Affecting Sentence

a. Conditions affecting the defendant's sentence

The following conditions may also apply and affect the defendant's sentence: 1) pursuant to Comment 7 of U.S.S.G. § 5E1.2, the Court may impose an additional fine to pay the costs to the government of any imprisonment and supervised release term; 2) pursuant to 18 U.S.C. § 3612(f), unless otherwise ordered, if the Court imposes a fine of more than $2,500, interest will be charged on the balance not paid within 15 days after the judgment date; 3) upon violating any condition of supervised release, a further term of imprisonment equal to the period of the supervised release may be imposed, with no credit for the time already spent on supervised release; 4) the Court may order the defendant to pay restitution pursuant to 18 U.S.C. § 3663 and U.S.S.G. § 5E1.1.

b. Payment of Special Assessment

The defendant agrees to pay the entire special assessment in this case on the day the Court imposes the sentence. All payments will be by check or money order, and are to be delivered to the Clerk of Court, United States District Court, 222 W. 7th Ave. Box 4, Rm. 229, Anchorage, AK 99513-7564.

E. Forfeiture

There is no agreement on Forfeiture in this case.

//

MAR 11 2016

F. Restitution

Defendant agrees to a judgment of restitution in the amount of $600,064 to the Internal Revenue Service, which represents the tax due and owing for the years 2004 through 2012, exclusive of interest and penalties. Defendant agrees to restitution in excess of the amounts related to the counts of conviction pursuant to Title 18, United States Code, Section 3663(a)(3). Defendant understands that the Internal Revenue Service may seek interest and penalties in excess of this amount.

III. ADVISORY UNITED STATES SENTENCING GUIDELINES; GUIDELINE APPLICATION AGREEMENTS; SENTENCING RECOMMENDATIONS

A. Advisory United States Sentencing Guidelines

The Court must consult the advisory United States Sentencing Commission Guidelines [U.S.S.G.] as well as the factors set forth in 18 U.S.C. § 3553(a) when considering the sentence to impose. The U.S.S.G. do not establish the statutory maximum or minimum sentence applicable to the offenses to which the defendant is pleading guilty. The U.S.S.G. are not mandatory and the Court is not bound to impose a sentence recommended by the U.S.S.G.

B. Guideline Application Agreements

The parties have no agreements on any guideline applications unless set forth below in this section.

//

MAR. 1 1 2016

1. Acceptance of responsibility

If the United States concludes that the defendant has satisfied the criteria set out in U.S.S.G. § 3E1.1 and the applicable application notes, the United States agrees to recommend the defendant for a two level downward adjustment for acceptance of responsibility and, if U.S.S.G. § 3E1.1(b) applies, to move for the additional one level adjustment for acceptance of responsibility. If, at any time prior to imposition of the sentence, the United States concludes that the defendant has failed to fully satisfy the criteria set out in U.S.S.G. § 3E1.1, or has acted in a manner inconsistent with acceptance of responsibility, the United States will not make or, if already made, will withdraw this recommendation and motion.

2. Relevant Conduct

The parties agree that the relevant conduct for sentencing purposes is $600,064. USSG § 2T4.1(H)(Revised Nov. 1, 2015).

3. Specific Offense Characteristics

The parties agree that the income that the defendant failed to report was legal source income, pursuant to USSC 2T1.1(b)(1), and that the offense did not involve sophisticated means, pursuant to USSG §2T1.1(b)(2).

C. Sentencing Recommendations

The United States Probation Office will prepare the defendant's pre-sentence report in which it will include a recommended calculation of the defendant's sentence range under the U.S.S.G. Both the United States and the defendant will

MAR 11 2016

have the opportunity to argue in support of or in opposition to the guideline sentence range calculation the U.S.P.O. recommends, as well as present evidence in support of their respective sentencing arguments. In this case, the United States agrees to recommend a sentence of not more than 24 months of imprisonment, which is anticipated to be the low end to the advisory USSG range. The Defendant agrees to recommend not less than eight months of imprisonment. These recommendations are not binding on the Court. Otherwise, the parties are free to recommend to the Court their respective positions on the appropriate sentence to be imposed in this case based on the stipulated facts set forth in Section II C, any additional facts established at the imposition of sentence hearing, the applicable statutory penalty sections, the advisory U.S.S.G., and the sentencing factors set forth in 18 U.S.C. § 3553.

IV. WAIVER OF TRIAL, APPELLATE RIGHTS, AND COLLATERAL ATTACK RIGHTS

A. Trial Rights

Being aware of the following, the defendant waives these trial rights:

- If pleading to an information, the right to have the charges presented to the grand jury prior to entering the guilty plea;
- The right to a speedy and public trial by jury on the factual issues establishing guilt or any fact affecting the mandatory minimum and

MAR 11 2016

statutory penalties, and any issue affecting any interest in any assets subject to forfeiture;

- The right to object to the composition of the grand or trial jury;
- The right to plead not guilty or to persist in that plea if it has already been made;
- The right to be presumed innocent and not to suffer any criminal penalty unless and until the defendant's guilt is established beyond a reasonable doubt;
- The right to be represented by counsel at trial and if necessary to have a counsel appointed at public expense to represent the defendant at trial -- the defendant is not waiving the right to have counsel continue to represent the defendant during the sentencing phase of this case;
- The right to confront and cross examine witnesses against the defendant, and the right to subpoena witnesses to appear in the defendant's behalf;
- The right to remain silent at trial, with such silence not to be used against the defendant, and the right to testify in the defendant's own behalf;

//

MAR 11 2016

– The right to contest the validity of any searches conducted on the defendant's property or person.

B. Appellate Rights

The defendant waives the right to appeal the conviction(s) resulting from the entry of guilty plea(s) to the charges set forth in this agreement. The defendant further agrees that if the Court imposes a sentence that does not exceed the statutory maximum penalties – as set forth in Section II D above in this agreement, the defendant waives without exception the right to appeal on all grounds contained in 18 U.S.C. § 3742 the sentence the Court imposes– including forfeiture (if applicable) or terms or conditions of probation (if applicable) or supervised release, and any fines or restitution.

C. Collateral Attack Rights

The defendant agrees to waive all rights to collaterally attack the resulting conviction(s) and/or sentence – including forfeiture (if applicable) or terms or conditions of probation (if applicable) or supervised release, and any fines or restitution – the Court imposes. The only exceptions to this collateral attack waiver are as follows: 1) any challenge to the conviction or sentence alleging ineffective assistance of counsel -- based on information not now known to the defendant and which, in the exercise of reasonable diligence, could not be known by the defendant at the time the Court imposes sentence; and 2) a challenge to the voluntariness of the defendant's guilty plea(s).

MAR 11 2010

V. ADDITIONAL AGREEMENTS BY UNITED STATES

In exchange for the defendant's guilty plea(s) and the Court's acceptance of the defendant's plea and the terms of this agreement, the United States agrees that it will not prosecute the defendant further for any other offense -- now known -- arising out of the subject of the investigation related to the charges brought in the Information in this case and the defendant's admissions set forth in Section II C. Provided, however, if the defendant's guilty plea(s) is/are rejected, withdrawn, vacated, reversed, or set aside, or if the defendant's conviction or sentence is vacated, reversed, set aside, or modified, at any time, in any proceeding, for any reason, the United States will be free to prosecute the defendant on all charges arising out of the investigation of this case including any charges dismissed pursuant to the terms of this agreement, which charges will be automatically reinstated as well as for perjury and false statements.

VI. ADEQUACY OF THE AGREEMENT

Pursuant to Local Criminal Rule 11.2 (d)(7) and (8), this plea agreement is appropriate in that it conforms with the sentencing goals that would otherwise be applicable to the defendant's case if the defendant had gone to trial and had been convicted on all counts in the charging instrument.

//

MAR 11 2016

VII. THE DEFENDANT'S ACCEPTANCE OF THE TERMS OF THIS PLEA AGREEMENT

I, Daniel Henry, the defendant, affirm this document contains all of the agreements made between me – with the assistance of my attorney – and the United States regarding my plea(s). There are no other promises, assurances, or agreements the United States has made or entered into with me that have affected my decision to enter any plea of guilty or to enter into this agreement. If there are any additional promises, assurances, or agreements, I and the United States will jointly inform the Court in writing before I enter my guilty plea(s).

I understand that no one, including my attorney, can guarantee the outcome of my case or what sentence the Court may impose if I plead guilty. If anyone, including my attorney, has done or said anything other than what is contained in this agreement, I will inform the Court when I stand before it to enter my plea. If there were, I would so inform the Court.

I enter into this agreement understanding and agreeing that the conditions set forth herein are obligatory and material to this agreement and that any failure on my part to fulfill these obligations will constitute a material breach of this agreement. If I breach this agreement, I agree the United States, in its sole discretion, may withdraw from this agreement and may reinstate prosecution against me on any charges arising out of the investigation in this matter. If my compliance with the terms of this plea agreement becomes an issue, at an appropriate hearing, during

MAR 11 2016

which I agree any of my disclosures will be admissible, the Court will determine whether or not I have violated the terms of this agreement. I understand the government's burden to prove a breach will be by a preponderance of the evidence.

I understand the Court will ask me under an oath to answer questions about the offense(s) to which I am pleading guilty and my understanding of this plea agreement. I understand that I may be prosecuted if I make false statements or give false answers and may suffer other consequences set forth in this agreement.

I have read this plea agreement carefully and understand it thoroughly. I know of no reason why the Court should find me incompetent to enter into this agreement or to enter my plea(s). I enter into this agreement knowingly and voluntarily. I understand that anything that I discuss with my attorney is privileged and confidential, and cannot be revealed without my permission. Knowing this, I agree that this document will be filed with the Court.

I am fully satisfied with the representation given me by my attorney and am prepared to repeat this statement at the time I stand before the Court and enter my guilty plea(s). My attorney and I have discussed all possible defenses to the charges to which I am pleading guilty. My attorney has investigated my case and followed up on any information and issues I have raised to my satisfaction. My attorney has taken the time to fully explain the legal and factual issues involved in my case to my satisfaction. We have discussed the statutes applicable to my offense and sentence as well as the possible effect the U.S.S.G. may have on my sentence.

MAR 11 2016

Based on my complete understanding of this plea agreement, I therefore wish to enter a plea of guilty to Counts One through Four of the Information.

DATED: 1/28/16

DANIEL HENRY
Defendant

As counsel for the defendant, I have conveyed all formal plea offers. I have discussed with the terms of this plea agreement with the defendant, have fully explained the charge(s) to which the defendant is pleading guilty and the necessary elements, all possible defenses, and the consequences of a guilty plea to a misdemeanor. I have communicated all plea offers to my client. Based on these discussions, I have no reason to doubt that the defendant is knowingly and voluntarily entering into this agreement and entering a plea of guilty. I know of no reason to question the defendant's competency to make these decisions. If, prior to the imposition of sentence, I become aware of any reason to question the defendant's competency to enter into this plea agreement or to enter a plea of guilty, I will immediately inform the court.

DATED: 2/1/16

ROBERT BUNDY
Attorney for Daniel Henry

//

MAR 11 2016

On behalf of the United States, the following accept the defendant's offer to plead guilty under the terms of this plea agreement.

DATED: February 1, 2016

JACK S. SCHMIDT
United States of America
Assistant U.S. Attorney

DATED: February 1, 2016

KAREN L. LOEFFLER
United States of America
United States Attorney

MAR 11 2016

7013 3020 0002 4066 9195

U.S. Postal Service™
CERTIFIED MAIL™ RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com®

SKAGWAY, AK 99840 OFFICIAL USE

Postage	$3.45
Certified Fee	$2.80
Return Receipt Fee (Endorsement Required)	$0.00
Restricted Delivery Fee (Endorsement Required)	$0.00
Total Postage & Fees	$1.86
	$8.11

0860 09

SKAGWAY ALASKA Postmark Here FEB 29 2016 02/29/2016 99840-USPS

Sent To: DAN HENRY, M-O-S SGY
Street, Apt. No.; or PO Box No.: P.O. Box 415
City, State, ZIP+4: SKAGWAY, AK 99840

PS Form 3800, August 2006 See Reverse for Instructions

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:
DAN HENRY, ASSY
M.O.S
P.O. Box 415
SKAGWAY, AK 99840

9590 9402 1331 5285 2628 86

2. Article Number (Transfer from service label)
13 3020 0002 4066 9195

COMPLETE THIS SECTION ON DELIVERY

A. Signature
X [signature] ☐ Agent ☐ Addressee

B. Received by (Printed Name): JUDIAN GORDON
C. Date of Delivery: 3-2-16

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type
☐ Adult Signature
☐ Adult Signature Restricted Delivery
☐ Certified Mail®
☐ Certified Mail Restricted Delivery
☐ Collect on Delivery
☐ Collect on Delivery Restricted Delivery
☐ Insured Mail
Insured Mail Restricted Delivery (over $500)
☐ Priority Mail Express®
☐ Registered Mail™
☐ Registered Mail Restricted Delivery
☐ Return Receipt for Merchandise
☐ Signature Confirmation™
☐ Signature Confirmation Restricted Delivery

PS Form 3811, July 2015 PSN 7530-02-000-9053 Domestic Return Receipt

From:	Microsoft Outlook
To:	Dosik, Thomas A (LAW)
Subject:	Delivered: Complaint Notification Letter: 16-02-POFD, Roger W. Griffin v. Assemblyman Daniel Henry
Date:	Tuesday, March 15, 2016 8:59:43 AM
Importance:	High

Your message has been delivered to the following recipients:
HYPERLINK "mailto:thomas.dosik@alaska.gov"Dosik, Thomas A (LAW) (thomas.dosik@alaska.gov)
Subject: Complaint Notification Letter: 16-02-POFD, Roger W. Griffin v. Assemblyman Daniel Henry

From:	Microsoft Outlook
To:	sfcalaska@hotmail.com; taiyamarine@gmail.com
Subject:	Relayed: Complaint Notification Letter: 16-02-POFD, Roger W. Griffin v. Assemblyman Daniel Henry
Date:	Tuesday, March 15, 2016 8:59:38 AM
Importance:	High

Delivery to these recipients or groups is complete, but no delivery notification was sent by the destination server:
HYPERLINK "mailto:sfcalaska@hotmail.com"sfcalaska@hotmail.com (sfcalaska@hotmail.com)
HYPERLINK "mailto:taiyamarine@gmail.com"taiyamarine@gmail.com (taiyamarine@gmail.com)
Subject: Complaint Notification Letter: 16-02-POFD, Roger W. Griffin v. Assemblyman Daniel Henry

From:	Microsoft Outlook
To:	Dauphinais, Paul R (DOA)
Subject:	Delivered: Complaint Notification Letter: 16-02-POFD, Roger W. Griffin v. Assemblyman Daniel Henry
Date:	Tuesday, March 15, 2016 8:59:41 AM
Importance:	High

Your message has been delivered to the following recipients:
HYPERLINK "mailto:paul.dauphinais@alaska.gov"Dauphinais, Paul R (DOA) (paul.dauphinais@alaska.gov)
Subject: Complaint Notification Letter: 16-02-POFD, Roger W. Griffin v. Assemblyman Daniel Henry

Voter Registration & Election Management System

STATE OF ALASKA DIVISION OF ELECTIONS

Inquiries > View Voter

Welcome CHARITY COLEMAN **Logout**

Voter Information | **Previous Name and Address** | **Previous Status/Condition and Party**

Voter History | **Microfilm** | **Duplicates**

Voter Information		Districts		Dates	
Voter ID:	[redacted]	HD:	33	Status/Cond:	A/RG
Name:	GRIFFIN ROGER W	Prec:	33-540 SKAGWAY	Condition Date:	09/03/2004
Residence:	[redacted] SKAGWAY 99840	Polling Place:	SKAGWAY BOROUGH OFFICE	Suspend Date:	
PRA:	☐	Senate:	Q	Restore Date:	
Mailing:	[redacted] SKAGWAY AK 99840-0423	Judicial:	1	Last Contact:	01/01/2015
DOB:	[redacted]	Prior HD:		Reg:	10/06/2014
SSN or Last 4:	[redacted]	Prior Prec:		Orig Reg:	06/08/2011
DL/State ID:	[redacted]	Majors:	SKAGWAY	Dist Reg:	06/08/2011
Gender:	MALE	Minors:		Prec Reg:	
Party:	NONPARTISAN	Registrar:	☐	Disabled:	☐
Old Plan House/Precinct:	32-540	Election Worker:	☐	PAV:	☐

Scan Documents | Display Signature | Display Document

Absentee Ballot | Petition History | Change Audit History

Back | Cancel

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

FINANCIAL DISCLOSURE STATEMENT FOR:
PUBLIC OFFICIALS, LEGISLATORS & CANDIDATES

EXECUTIVE BRANCH: Governor, Lt. Gov., commissioners, directors, special assistants and legislative liaisons; state boards and commissions; procurement and investment officers; city/borough officials; candidates.

JUDICIAL BRANCH: Justices, judges and magistrates.

LEGISLATIVE BRANCH: Legislators, legislative directors, Select Committee on Legislative Ethics.

FOR MORE INFORMATION, INSTRUCTIONS, BLANK FORMS, SAMPLE FORMS & QUESTIONS: Visit APOC online at: doa.alaska.gov/apoc:

- To find detailed instructions and sample disclosures, under the heading "How do I..." click "Complete my initial, annual or final Public Official Financial Disclosure Statement"
- To find blank Financial Disclosure forms for public officials and legislators, under the heading "Quick Links" click "APOC Forms"
- To find the laws and regulations that APOC administers and enforces, there is a link to "Statutes" or "APOC Statutes & Regulations" throughout the APOC Web site.

Contact APOC directly:

- ANCHORAGE: 2221 E. Northern Lights Blvd., Rm 128, Anchorage, AK 99508 / 907-276-4176 / Fax 907-276-7018
- JUNEAU: 240 Main St., Rm 500 / P.O. Box 110222, Juneau, AK 99811 / 907-465-4864 / Fax 907-465-4832
- TOLL-FREE: 800-478-4176 / Online contact info: http://doa.alaska.gov/apoc/

RECEIVED MAR 15 2010 MUNICIPALITY OF SKAGWAY

THIS IS A PUBLIC DOCUMENT – DO NOT INCLUDE CONFIDENTIAL INFORMATION (i.e., SOCIAL SECURITY NUMBERS, ACCOUNT NUMBERS)

THIS REPORT IS A SWORN STATEMENT. YOUR SIGNATURE ON THE LAST PAGE CERTIFIES THAT THIS DISCLOSURE IS TRUE, CORRECT and COMPLETE.

NAME: DANIEL J. HENRY

MAILING ADDRESS: P.O. BOX 279 SKAGWAY, AK. 99840
Street address or P.O. Box, city, zip code

CONTACT PHONE(S): 907-983-2010 **Fax:** 907-983-3474

E-MAIL: SFCALASKA@HOTMAIL.COM

SPOUSE / DOMESTIC PARTNER: EILEEN M. HENRY

DEPENDENT CHILDREN: _____ **NON-DEPENDENT CHILDREN LIVING WITH YOU:** _____
Report number of children, including stepchildren, adoptive children. Legislative filers: List non-dependent children living with you.

ARRIVED MAR 18 2010 APOC-ANCH PH HC FAX ELE

NAME NON-DEPENDENT CHILDREN LIVING with YOU: _____

WHY ARE YOU FILING? ☑ **OFFICE HOLDER** or ☐ **CANDIDATE**

Office held or sought: _____

☐ **INITIAL STATEMENT: Due 30 days from appointment for new public officials** (and annually thereafter).

☑ **ANNUAL STATEMENT: Due by March 15 – for incumbent officials.**

☐ **FINAL STATEMENT: Due 90 days after leaving office – From** _____ **through** _____.
(Include all information not reported on a previously filed statement through your last day of office.)

☐ **CANDIDATE STATEMENT: Due when filing declaration of candidacy**

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

P.O. BOX 415

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

SCHEDULE A: SOURCES OF INCOME OVER $1,000

1. SALARIED EMPLOYMENT **NONE: check box →** ☑

Report each employer who paid you, your spouse, domestic partner or children covered by reporting requirements more than $1,000. Include amount of income, dates of employment, terms of employment, amount of time worked. **Income means anything of value and covers all forms of compensation, including deferred income.**
DESCRIBE THE WORK PERFORMED IN SUFFICIENT DETAIL TO MAKE IT CLEAR TO A PERSON OF ORDINARY UNDERSTANDING.

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

SCHEDULE A: SOURCES OF INCOME OVER $1,000

2. SELF-EMPLOYMENT: NON-RETAIL NONE: check box → ☑

Disclose each client, customer or business that paid you, your spouse/domestic partner or child more than $1,000. Self-employment includes sole proprietors, partnerships, limited liability companies, professional corporations. List each source of income over $1,000 by name and amount. Exemptions: if the identity of the source of income is confidential by law, you may be excused from disclosing the source. To obtain an exemption, you must qualify under the law, you must file a written request, and you must ***receive*** an exemption from the commission. Exemption rules: Public officials, candidates: 2 AAC 50.100-102. Legislators: 2 AAC 50.775-780.

Income means anything of value and covers all forms of compensation, including deferred income.

EARNED BY: ☑ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $ [redacted]

☐ Full-time ☑ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: SEASONAL

If work is not full-time, specify amount of time worked (months/days/hours):

Business name: SKAGWAY FISH COMPANY

Client / Customer name: GENERAL PUBLIC

Client / customer address: ANYWHERE USA

DETAILED DESCRIPTION of services provided: RESTUARANT

REFER TO 6 PAGE

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates:

If work is not full-time, specify amount of time worked (months/days/hours):

Business name:

Client / customer name:

Client / customer address:

DETAILED DESCRIPTION of services provided:

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates:

If work is not full-time, specify amount of time worked (months/days/hours):

Business name:

Client / customer name:

Client / customer address:

DETAILED DESCRIPTION of services provided:

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

SCHEDULE A: SOURCES OF INCOME OVER $1,000

3. SELF-EMPLOYMENT – RETAIL NONE: check box → ☑

List each self-employment retail business that was a source of income of more than $1,000. Individual retail clients/customers do ***not*** need to be disclosed with these ***exceptions.*** You must disclose (1) customers with a line of credit extending through two or more billing cycles, (2) customers with ongoing contracts to purchase goods or services, and (3) customers who are offered discounts not available to the general public.

Income means anything of value and covers all forms of compensation, including deferred income.

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours): _____

Business name: _____

Client/customer name/address (if applicable): _____

DETAILED DESCRIPTION of services provided: _____

_____

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours): _____

Business name: _____

Client/customer name/address (if applicable): _____

DETAILED DESCRIPTION of services provided: _____

_____

4. RENTAL INCOME NONE: check box → ☐

OWNER:	TENANTS WHO PAID > $1,000 (For property outside Alaska managed by agent, list AGENT instead of tenant)	AMOUNT
☐ Filer		
☐ Spouse or domestic partner		
☐ Child		
☐ Co-owner with others		

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

SCHEDULE A: SOURCES OF INCOME OVER $1,000

5. DIVIDENDS and INTEREST

NONE: check box → ☐

Disclose source and amount of income over $1,000 from dividends and interest. Include bank accounts, capital gains, money market accounts, certificates of deposit, Native corporation dividends, Permanent Fund dividends.

RECIPIENT	SOURCE	AMOUNT
☑ Filer ☐ Child ☐ Spouse/ partner	ALASKA PFD	1305.00
☐ Filer ☐ Child ☑ Spouse/ partner	ALASKA PFD	1305.00
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		

6. OTHER INCOME

NONE: check box → ☐

List source and amount of income over $1,000 not listed elsewhere in this form, including sale of goods or property, pensions, IRA cash-outs, honorariums, alimony, child support, shared living expenses and government entitlements.

RECIPIENT	SOURCE	AMOUNT
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		

7. GIFTS WORTH MORE THAN $250

NONE: check box → ☐

Report gifts worth more than $250 (including gifts from a single source with a cumulative value more than $250) – *except* gifts from spouse, domestic partner, parent, child, sibling, grandparent, aunt, uncle, niece or nephew. Include travel expenses, discounts not available to the public, loans forgiven or loans paid by a third party. Legislators must submit more detailed disclosure reports to the Legislative Ethics Committee.

RECIPIENT	DESCRIPTION	SOURCE	VALUE
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

SCHEDULE B

BUSINESS INTERESTS NONE: check box → ☐

Report business interests even if they were NOT a source of income, including businesses in which you/family:
- Served as stockholder, owner, officer, director, partner, proprietor, employee or held an interest.
- Had ownership interests of more than $1,000 in a publicly traded corporation.
- Had any other ownership interest in a business, including shares in non-publicly traded corporations, sole proprietorships, limited liability companies. Include options to buy.
- Include non-profit organizations, corporations, businesses, associations, trade groups.

If the business was a source of income over $1,000, it must also be reported in Schedule A.

☑ Filer / ☐ Spouse/domestic partner / ☐ Child / Position/Type of interest: _____

Business name: SKAGWAY FISH COMPANY

Business address: 210 CONGRESS WAY SKAGWAY AK. 99840

DETAILED DESCRIPTION of business activity: RESTAURANT

☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Position/Type of interest: _____

Business name: _____

Business address: _____

DETAILED DESCRIPTION of business activity: _____

☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Position/Type of interest: _____

Business name: _____

Business address: _____

DETAILED DESCRIPTION of business activity: _____

☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Position/Type of interest: _____

Business name: _____

Business address: _____

DETAILED DESCRIPTION of business activity: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009
SCHEDULE C

REAL PROPERTY INTERESTS **NONE: check box →** ☐

Include your home, a rent-to-own home, rental property, vacant property, recreational property, options to buy, business property and real estate interests held in a limited liability company, limited partnership or trust. Include property owned or sold during the reporting period. *If property is jointly owned, check all boxes that apply.*

OWNER(S): ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: 560 11TH AVE
City or borough / State: SKAGWAY ALASKA 99840
Ownership interest: HOMEOWNER
(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or borough / State: _____
Ownership interest: _____
(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or borough / State: _____
Ownership interest: _____
(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or borough / State: _____
Ownership interest: _____

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or borough / State: _____
Ownership interest: _____
(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

SCHEDULE D

BENEFICIAL INTERESTS: TRUSTS & RETIREMENT ACCOUNTS over $1,000 / NONE: ☐

Report each beneficial interest in a trust or retirement account that exceeded $1,000 during the reporting period. Report stocks, bonds, mutual funds, cash accounts, CDs, deferred compensation plans, profit-sharing accounts, employee benefit accounts, retirement accounts (such as IRA, 401K, SEP or Keogh) trust funds (including blind trusts) and limited partnerships. "Managed by" means the filer, employer, business, investment entity or name of the company that manages the account. Identify individual investments if you or family members manage or personally control the investments. "Identify fund or companies" means the individual companies or accounts where you are the manager and you control the investments; if a mutual fund, investment company or other third party entity manages and controls the investments, list the name or type of fund where the assets are held. You do NOT need to list the dollar value of the assets, but you must identify the assets by owner, manager and name.

ASSETS – OWNED BY: ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:**_____

ASSETS – MANAGED BY: SELF

ASSETS – IDENTIFY FUND or COMPANIES: E-DIGITAL CORP

ASSETS – OWNED BY: ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:**_____

ASSETS – MANAGED BY: SELF

ASSETS – IDENTIFY FUND or COMPANIES: FORD MOTOR CO.

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:**_____

ASSETS – MANAGED BY:_____

ASSETS – IDENTIFY FUND or COMPANIES:_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:**_____

ASSETS – MANAGED BY:_____

ASSETS – IDENTIFY FUND or COMPANIES:_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:**_____

ASSETS – MANAGED BY:_____

ASSETS – IDENTIFY FUND or COMPANIES:_____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

SCHEDULE E

1. LOANS, LOAN GUARANTEES & DEBTS OVER $1,000 **NONE: check box →** ☐

Report each creditor or lender to whom more than $1,000 was owed during the reporting period. Report guarantor of each loan. List financial obligations, including mortgages on property owned or sold during the reporting period; loans that have been guaranteed; delinquent taxes; alimony; child support payments; medical bills; boat and vehicle loans; business and personal loans; escrows; student loans; signature loans and promissory notes. Loans include secured, unsecured and contingent loans. *Do NOT list credit card obligations or revolving charge accounts.*

DEBTOR: ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☑ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** TOYOTA MOTOR CORP.

DEBTOR: ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☑ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** FIRST MORTGAGE Co.

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** _____

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** _____

2. FOR LEGISLATIVE BRANCH FILERS ONLY **NONE: check box →** ☐

Legislative branch filers must report additional details: original amount of the obligation, the current balance owed, interest rate, length of the loan and whether a written agreement exists for a creditor or lender who:

- Lobbies or hired lobbyists
- Had contracts or sought contracts worth more than $10,000 with any state agency
- Was a municipal or local government entity
- Was affected financially – in an amount exceeding $1,000 – by an act of the legislature or state agency decision, including actions affecting professional or occupational licenses; natural resource permits or quotas; assessments; tax rates; health, safety or environmental standards; insurance or business practices.

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER or** ☐ **CREDITOR / Name:** _____

Address: _____

Original loan: $_____ **Balance owed: $**_____ **Interest rate:** _____**%**

Term: ☐ _____ **years** ☐ _____ **months / WRITTEN LOAN AGREEMENT?** ☐ **Yes /** ☐ **No**

SCHEDULE F

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

1. LEASES: GOVERNMENT CONTRACTS & LEASES

NONE: check box → ☐

List all contracts, bids and offers to contract with the state or any state or municipal agency or entity. Report contract interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company or through a corporation in which filer or family members held a controlling interest.

CONTRACTOR: ☑ Filer / ☐ Spouse/domestic partner / ☐ Child / TYPE of INTEREST: SOLE PROPRIETOR

☐ Bid / ☐ Offer / ☐ Held / CONTRACT ID (name/number): _____

CONTRACTING AGENCY: MUNICIPALITY OF SKAGWAY

CONTRACT DESCRIPTION: PROPERTY ON WATER FRONT SKAGWAY FISH CO. LOCATION

CONTRACTOR: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / TYPE of INTEREST: _____

☐ Bid / ☐ Offer / ☐ Held / CONTRACT ID (name/number): _____

CONTRACTING AGENCY: _____

CONTRACT DESCRIPTION: _____

2. LEASES: NATURAL RESOURCE LEASES

NONE: check box → ☐

List natural resource leases – including mineral, timber, oil and gas leases – held, bid or offered during the reporting period. Report lease interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company; or corporation in which you or family (individually or together) held controlling interest.

LEASEHOLDER: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / TYPE of INTEREST: _____

☐ Bid / ☐ Offer / ☐ Held / LEASE ID (name/number): _____

LEASE DESCRIPTION: _____

LEASEHOLDER: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / TYPE of INTEREST: _____

☐ Bid / ☐ Offer / ☐ Held / LEASE ID (name/number): _____

LEASE DESCRIPTION: _____

SCHEDULE G

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

1. CLOSE ECONOMIC ASSOCIATIONS **NONE: check box →** ☑

EXEMPT: Municipal and local officials are exempt from reporting close economic associations. **Members of state boards and commissions are exempt** from reporting close economic associations. **Local officials and state board/commission members do NOT have to complete this section. Check the box for NONE.**

STATE PUBLIC OFFICIALS: Disclose financial relations with legislators, other public officials and lobbyists.

LEGISLATIVE BRANCH: Disclose financial relations with public officials, lobbyists, other legislators, and legislative employees. Report close economic association detailed information to the Legislative Ethics Committee.

CLOSE ECONOMIC ASSOCIATION means a financial relationship between public officials, legislators and lobbyists, including shared interests in a business, property, association, partnership, corporation or LLC.

CHANGES: Report new close economic associations within 60 days.

PERSON DISCLOSING ECONOMIC ASSOCIATION: ☐ **Filer** / ☐ **Spouse/domestic partner** / ☐ **Child**

PERSON with WHOM ASSOCIATION EXISTS: _____

DESCRIPTION of ECONOMIC ASSOCIATION: _____

_____

PERSON DISCLOSING ECONOMIC ASSOCIATION: ☐ **Filer** / ☐ **Spouse/domestic partner** / ☐ **Child**

PERSON with WHOM ASSOCIATION EXISTS: _____

DESCRIPTION of ECONOMIC ASSOCIATION: _____

_____

2. FILERS WITH A LOBBYIST SPOUSE or DOMESTIC PARTNER **NONE:** ☑

EXEMPT: Local officials and members of state boards and commissions are EXEMPT. Check NONE.

STATE PUBLIC OFFICIALS with a lobbyist spouse or domestic partner: Report names and addresses of each employer of the lobbyist and the total monetary value received from each of the lobbyist's employers.

LEGISLATIVE BRANCH filers with a lobbyist spouse or domestic partner: Disclose employer of lobbyist and compensation, and report details to t he Legislative Ethics Committee.

CHANGES: Report changes in lobbyist's employer within 48 hours of the change.

LOBBYIST'S EMPLOYER: NAME & ADDRESS	COMPENSATION

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2010 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2009– Dec. 31, 2009

CERTIFICATION

I certify under penalty of perjury that the foregoing is true and the information in this disclosure statement is, to the best of my knowledge, true, correct and complete. A person who makes a false sworn certification which he or she does not believe to be true is guilty of perjury.

SIGNATURE

If you are filing online, you must have an Electronic Filing Agreement with APOC to use an electronic signature.

DANIEL J. HENRY

NAME of FILER

3/11/10

DATE & PLACE SIGNED / FILED

All officials and candidates who are required to file disclosure statements are solely responsible for filing complete, accurate and truthful statements by the deadlines.

WHERE TO FILE THIS STATEMENT

STATE OFFICIALS: File initial, annual and final statements with the Alaska Public Offices Commission.

STATE CANDIDATES: File with the Division of Elections along with Declaration of Candidacy.

BOROUGH / MUNICIPAL / CITY OFFICIALS and CANDIDATES: File with city or borough clerk where you hold or seek office.

FILE ELECTRONICALLY to APOC: doa.apoc.reports@alaska.gov

THIS IS A PUBLIC DOCUMENT

NOTE: Public officials who are required to file this disclosure statement may have additional obligations to disclose conflicts of interest or potential conflicts under state executive, legislative or judicial ethics rules or personnel rules. Legislators who are required to file this disclosure statement have additional disclosure and reporting requirements imposed by the Select Committee on Legislative Ethics. Local officials may also be governed by local ethics ordinances or personnel rules.

Disclosure forms, guidelines, laws and regulations are online: doa.alaska.gov/apoc or from APOC offices

ALASKA PUBLIC OFFICES COMMISSION

ANCHORAGE OFFICE:
2221 E. Northern Lights Blvd – Rm 128
Anchorage, AK 99508-4149
907-276-4176 / Toll-free 800-478-4176
Fax 907-276-7018

JUNEAU OFFICE:
240 Main St. – Rm 500
Mail: P.O. Box 110222
Juneau, AK 99811-0222
907-465-4864 / Fax 907-465-4832

E-mail APOC: doa.apoc@alaska.gov
File electronic disclosure statements to: doa.apoc.reports@alaska.gov

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

MAR 18 2010

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

RECEIVED
MAR 15 2011
MUNICIPALITY OF SKAGWAY

FINANCIAL DISCLOSURE STATEMENT FOR:
PUBLIC OFFICIALS, LEGISLATORS & CANDIDATES

EXECUTIVE BRANCH: Governor, Lt. Gov., commissioners, directors, special assistants and legislative liaisons; state boards and commissions; procurement and investment officers; city/borough officials; candidates.

JUDICIAL BRANCH: Justices, judges and magistrates.

LEGISLATIVE BRANCH: Legislators, legislative directors, Select Committee on Legislative Ethics.

FOR MORE INFORMATION, INSTRUCTIONS, BLANK FORMS, SAMPLE FORMS & QUESTIONS: Visit APOC online at: doa.alaska.gov/apoc.

- To find detailed instructions and sample disclosures, under the heading "How Do I…" click "Complete my initial, annual or final Public Official Financial Disclosure Statement."
- To find blank Financial Disclosure forms for public officials and legislators, under the heading "Quick Links" click "APOC Forms".
- To find the laws and regulations that APOC administers and enforces, there is a link to "Statutes" or "APOC Statutes & Regulations" throughout the APOC Web site.

Contact APOC directly:

- ANCHORAGE: 2221 E. Northern Lights Blvd., Room 128, Anchorage, AK 99508 / 907-276-4176 / Fax 907-276-7018
- JUNEAU: 240 Main St., Room 500 / P.O. Box 110222, Juneau, AK 99811 / 907-465-4864 / Fax 907-465-4832
- TOLL-FREE: 800-478-4176 / Online contact info: http://doa.alaska.gov/apoc/

THIS IS A PUBLIC DOCUMENT – DO NOT INCLUDE CONFIDENTIAL INFORMATION (i.e., SOCIAL SECURITY NUMBERS, ACCOUNT NUMBERS)

THIS REPORT IS A SWORN STATEMENT. YOUR SIGNATURE ON THE LAST PAGE CERTIFIES THAT THIS DISCLOSURE IS TRUE, CORRECT and COMPLETE.

NAME: DANIEL J. HENRY

MAILING ADDRESS: 560 11TH AVE P.O. BOX 279
Street Address or P.O. Box, City, Zip Code

CONTACT PHONE(S): 907-983-2010 Fax: 3733

E-MAIL: SFCALASKA@HOTMAIL.COM

SPOUSE / DOMESTIC PARTNER: EILEEN M. HENRY

DEPENDENT CHILDREN: _____ NON-DEPENDENT CHILDREN LIVING WITH YOU: _____
Report number of children, including stepchildren, adoptive children. Legislative filers: List non-dependent children living with you.

ARRIVED
JUL 28 2011
APOC - ANCH
PM HC FAX ELE
July 26, 2011

NAME NON-DEPENDENT CHILDREN LIVING with YOU: _____

WHY ARE YOU FILING? ☑ OFFICE HOLDER or ☐ CANDIDATE

Office held or sought: ASSEMBLYMEMBER

☐ **INITIAL STATEMENT: Due 30 days from appointment for new public officials** (and annually thereafter).
☑ **ANNUAL STATEMENT: Due by March 15 – for incumbent officials.**
☐ **FINAL STATEMENT: Due 90 days after leaving office – From _____ through _____.**
(Include all information not reported on a previously filed statement through your last day of office.)
☐ **CANDIDATE STATEMENT: Due when filing declaration of candidacy**

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

apocstaff Skagway Borough

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE A: SOURCES OF INCOME OVER $1,000

1. SALARIED EMPLOYMENT NONE: check box → ☑

Report each employer who paid you, your spouse, domestic partner or children covered by reporting requirements more than $1,000. Include amount of income, dates of employment, terms of employment, amount of time worked.
Income means anything of value and covers all forms of compensation, including deferred income.
DESCRIBE THE WORK PERFORMED IN SUFFICIENT DETAIL TO MAKE IT CLEAR TO A PERSON OF ORDINARY UNDERSTANDING.

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

_____

_____

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

_____

_____

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

_____

_____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE A: SOURCES OF INCOME OVER $1,000

2. SELF-EMPLOYMENT: NON-RETAIL **NONE: check box →** ☑

Disclose each client, customer or business that paid you, your spouse/domestic partner or child more than $1,000. Self-employment includes sole proprietors, partnerships, limited liability companies, professional corporations. List each source of income over $1,000 by name and amount. Exemptions: if the identity of the source of income is confidential by law, you may be excused from disclosing the source. To obtain an exemption, you must qualify under the law, you must file a written request, and you must ***receive*** an exemption from the commission. Exemption rules: Public officials, candidates: 2 AAC 50.100-102. Legislators: 2 AAC 50.775-780.

Income means anything of value and covers all forms of compensation, including deferred income.

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Business name: _____

Client / Customer name: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Business name: _____

Client / customer name: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Business name: _____

Client / customer name: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

JUL 28 2011

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE A: SOURCES OF INCOME OVER $1,000

3. SELF-EMPLOYMENT – RETAIL

NONE: check box → ☑

List each self-employment retail business that was a source of income of more than $1,000. Individual retail clients/customers do ***not*** need to be disclosed with these ***exceptions.*** You must disclose (1) customers with a line of credit extending through two or more billing cycles, (2) customers with ongoing contracts to purchase goods or services, and (3) customers who are offered discounts not available to the general public.

Income means anything of value and covers all forms of compensation, including deferred income.

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Business name: _____

Client/customer name/address (if applicable): _____

DETAILED DESCRIPTION of services provided: _____

_____

EARNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / Total income: $_____

☐ Full-time ☐ Part-time ☐ Seasonal ☐ Commission ☐ Project ☐ Hourly / Dates: _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Business name: _____

Client/customer name/address (if applicable): _____

DETAILED DESCRIPTION of services provided: _____

_____

4. RENTAL INCOME

NONE: check box → ☑

OWNER:	TENANTS WHO PAID > $1,000 (For property outside Alaska managed by agent, list AGENT instead of tenant)	AMOUNT
☐ Filer		
☐ Spouse or domestic partner		
☐ Child		
☐ Co-owner with others		

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE A: SOURCES OF INCOME OVER $1,000

5. DIVIDENDS and INTEREST

NONE: check box → ☐

Disclose source and amount of income over $1,000 from dividends and interest. Include bank accounts, capital gains, money market accounts, certificates of deposit, Native corporation dividends, Permanent Fund dividends.

RECIPIENT	SOURCE	AMOUNT
☐ Filer ☐ Child ☐ Spouse/ partner	STATE OF ALASKA	
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		

6. OTHER INCOME

NONE: check box → ☑

List source and amount of income over $1,000 not listed elsewhere in this form, including sale of goods or property, pensions, IRA cash-outs, honorariums, alimony, child support, shared living expenses and government entitlements.

RECIPIENT	SOURCE	AMOUNT
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		

7. GIFTS WORTH MORE THAN $250

NONE: check box → ☑

Public Official Filers ONLY- Legislative filers are NOT required to fill in this section. Legislators must submit more detailed disclosure reports to the Legislative Ethics Committee. Report gifts worth more than $250 (including gifts from a single source with a cumulative value more than $250) – *except* gifts from spouse, domestic partner, parent, child, sibling, grandparent, aunt, uncle, niece or nephew. Include travel expenses, discounts not available to the public, loans forgiven or loans paid by a third party.

RECIPIENT	DESCRIPTION	SOURCE	VALUE
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

JUL 28 2011

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE B

BUSINESS INTERESTS **NONE: check box →** ☐

Report business interests even if they were NOT a source of income, including businesses in which you/family:

- Served as stockholder, owner, officer, director, partner, proprietor, employee or held an interest.
- Had ownership interests of more than $1,000 in a publicly traded corporation.
- Had any other ownership interest in a business, including shares in non-publicly traded corporations, sole proprietorships, limited liability companies. Include options to buy.
- Include non-profit organizations, corporations, businesses, associations, trade groups.

If the business was a source of income over $1,000, it must also be reported in Schedule A.

☑ **Filer** / ☐ **Spouse/domestic partner** / ☐ **Child** / **Position/Type of interest:** _____

Business name: SKAGWAY FISH COMPANY

Business address: 210 CONGRESS WAY

DETAILED DESCRIPTION of business activity: RESTAURANT

☐ **Filer** / ☐ **Spouse/domestic partner** / ☐ **Child** / **Position/Type of interest:** _____

Business name: _____

Business address: _____

DETAILED DESCRIPTION of business activity: _____

☐ **Filer** / ☐ **Spouse/domestic partner** / ☐ **Child** / **Position/Type of interest:** _____

Business name: _____

Business address: _____

DETAILED DESCRIPTION of business activity: _____

☐ **Filer** / ☐ **Spouse/domestic partner** / ☐ **Child** / **Position/Type of interest:** _____

Business name: _____

Business address: _____

DETAILED DESCRIPTION of business activity: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE C

REAL PROPERTY INTERESTS **NONE: check box →** ☐

Include your home, a rent-to-own home, rental property, vacant property, recreational property, options to buy, business property and real estate interests held in a limited liability company, limited partnership or trust. Include property owned or sold during the reporting period. *If property is jointly owned, check all boxes that apply.*

OWNER(S): ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____

Street address or legal description: 560 11TH AVE SKAGWAY, AK.

City or Borough / State: SKAGWAY ALASKA

Ownership interest: HOMEOWNER

(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____

Street address or legal description: _____

City or Borough / State: _____

Ownership interest: _____

(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____

Street address or legal description: _____

City or Borough / State: _____

Ownership interest: _____

(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____

Street address or legal description: _____

City or Borough / State: _____

Ownership interest: _____

(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____

Street address or legal description: _____

City or Borough / State: _____

Ownership interest: _____

(Such as home owner, option to buy, owned through business entity or trust, leasehold, partnership)

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

JUL 28 2011

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE D

BENEFICIAL INTERESTS: TRUSTS & RETIREMENT ACCOUNTS over $1,000 / NONE: ☑

Report each beneficial interest in a trust or retirement account that exceeded $1,000 during the reporting period. Report stocks, bonds, mutual funds, cash accounts, CDs, deferred compensation plans, profit-sharing accounts, employee benefit accounts, retirement accounts (such as IRA, 401K, SEP or Keogh) trust funds (including blind trusts) and limited partnerships. "Managed by" means the filer, employer, business, investment entity or name of the company that manages the account. Identify individual investments if you or family members manage or personally control the investments. "Identify fund or companies" means the individual companies or accounts where you are the manager and you control the investments; if a mutual fund, investment company or other third party entity manages and controls the investments, list the name or type of fund where the assets are held. You do NOT need to list the dollar value of the assets, but you must identify the assets by owner, manager and name.

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE E

1. LOANS, LOAN GUARANTEES & DEBTS OVER $1,000 NONE: check box → ☐

Report each creditor or lender to whom more than $1,000 was owed during the reporting period. Report guarantor of each loan. List financial obligations, including mortgages on property owned or sold during the reporting period; loans that have been guaranteed; delinquent taxes; alimony; child support payments; medical bills; boat and vehicle loans; business and personal loans; escrows; student loans; signature loans and promissory notes. Loans include secured, unsecured and contingent loans. *Do NOT list credit card obligations or revolving charge accounts.*

DEBTOR: ☑ Filer / ☐ Spouse/domestic partner / ☐ Child

☑ LENDER / ☐ CREDITOR / ☐ GUARANTOR / NAME: WELLS FARGO

DEBTOR: ☑ Filer / ☐ Spouse/domestic partner / ☐ Child

☑ LENDER / ☐ CREDITOR / ☐ GUARANTOR / NAME: TOYOTA MOTOR CREDIT

DEBTOR: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child

☐ LENDER / ☐ CREDITOR / ☐ GUARANTOR / NAME: _____

DEBTOR: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child

☐ LENDER / ☐ CREDITOR / ☐ GUARANTOR / NAME: _____

2. FOR LEGISLATIVE BRANCH FILERS ONLY NONE: check box → ☑

Legislative branch filers must report additional details: original amount of the obligation, the current balance owed, interest rate, length of the loan and whether a written agreement exists for a creditor or lender who:

- Lobbies or hired lobbyists
- Had contracts or sought contracts worth more than $10,000 with any state agency
- Was a municipal or local government entity
- Was affected financially – in an amount exceeding $1,000 – by an act of the legislature or state agency decision, including actions affecting professional or occupational licenses; natural resource permits or quotas; assessments; tax rates; health, safety or environmental standards; insurance or business practices.

DEBTOR: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child

☐ LENDER or ☐ CREDITOR / Name: _____

Address: _____

Original loan: $_____ Balance owed: $_____ Interest rate: _____%

Term: ☐ _____ years ☐ _____ months / WRITTEN LOAN AGREEMENT? ☐ Yes / ☐ No

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

JUL 28 2011

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE F

1. LEASES: GOVERNMENT CONTRACTS & LEASES

NONE: check box → ☐

List all contracts, bids and offers to contract with the state or any state or municipal agency or entity. Report contract interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company or through a corporation in which filer or family members held a controlling interest.

CONTRACTOR: ☑ Filer / ☐ Spouse/domestic partner / ☐ Child / **TYPE of INTEREST:** _____

☐ Bid / ☐ Offer / ☐ Held / **CONTRACT ID (name/number):** _____

CONTRACTING AGENCY: MUNICIPALITY OF SKAGWAY

CONTRACT DESCRIPTION: SKAGWAY FISH COMPANY
210 CONGRESS WAY SKAGWAY AK 99840

CONTRACTOR: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / **TYPE of INTEREST:** _____

☐ Bid / ☐ Offer / ☐ Held / **CONTRACT ID (name/number):** _____

CONTRACTING AGENCY: _____

CONTRACT DESCRIPTION: _____

2. LEASES: NATURAL RESOURCE LEASES

NONE: check box → ☑

List natural resource leases – including mineral, timber, oil and gas leases – held, bid or offered during the reporting period. Report lease interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company; or corporation in which you or family (individually or together) held controlling interest.

LEASEHOLDER: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / **TYPE of INTEREST:** _____

☐ Bid / ☐ Offer / ☐ Held / **LEASE ID (name/number):** _____

LEASE DESCRIPTION: _____

LEASEHOLDER: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / **TYPE of INTEREST:** _____

☐ Bid / ☐ Offer / ☐ Held / **LEASE ID (name/number):** _____

LEASE DESCRIPTION: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

SCHEDULE G

1. CLOSE ECONOMIC ASSOCIATIONS

NONE: check box → ☑

EXEMPT: Municipal and local officials are exempt from reporting close economic associations. Members of state boards and commissions are exempt from reporting close economic associations. Local officials and state board/commission members do NOT have to complete this section. Check the box for NONE.

STATE PUBLIC OFFICIALS: Disclose financial relations with legislators, other public officials and lobbyists.

LEGISLATIVE BRANCH: Disclose financial relations with public officials, lobbyists, other legislators, and legislative employees. Report close economic association detailed information to the Legislative Ethics Committee.

CLOSE ECONOMIC ASSOCIATION means a financial relationship between public officials, legislators and lobbyists, including shared interests in a business, property, association, partnership, corporation or LLC.

CHANGES: Report new close economic associations within 60 days.

PERSON DISCLOSING ECONOMIC ASSOCIATION: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child

PERSON with WHOM ASSOCIATION EXISTS: _____

DESCRIPTION of ECONOMIC ASSOCIATION: _____

_____

PERSON DISCLOSING ECONOMIC ASSOCIATION: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child

PERSON with WHOM ASSOCIATION EXISTS: _____

DESCRIPTION of ECONOMIC ASSOCIATION: _____

_____

2. FILERS WITH A LOBBYIST SPOUSE or DOMESTIC PARTNER

NONE: check box → ☑

EXEMPT: Local officials and members of state boards and commissions are EXEMPT. Check NONE.

STATE PUBLIC OFFICIALS with a lobbyist spouse or domestic partner: Report names and addresses of each employer of the lobbyist and the total monetary value received from each of the lobbyist's employers.

LEGISLATIVE BRANCH filers with a lobbyist spouse or domestic partner: Disclose employer of lobbyist and compensation, and report details to t he Legislative Ethics Committee.

CHANGES: Report changes in lobbyist's employer within 48 hours of the change.

LOBBYIST'S EMPLOYER: NAME & ADDRESS	COMPENSATION

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

JUL 28 2011

ALASKA PUBLIC OFFICES COMMISSION
2011 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2010– Dec. 31, 2010

CERTIFICATION

I certify under penalty of perjury that the foregoing is true and the information in this disclosure statement is, to the best of my knowledge, true, correct and complete. A person who makes a false sworn certification which he or she does not believe to be true is guilty of perjury.

SIGNATURE: _____

If you are filing online, you must have an Electronic Filing Agreement with APOC to use an electronic signature.

DANIEL J. HENRY — 3/15/2011

NAME of FILER — **DATE &PLACE SIGNED / FILED**

All officials and candidates who are required to file disclosure statements are solely responsible for filing complete, accurate and truthful statements by the deadlines.

WHERE TO FILE THIS STATEMENT

STATE OFFICIALS: File initial, annual and final statements with the Alaska Public Offices Commission.

STATE CANDIDATES: File with the Division of Elections along with Declaration of Candidacy.

BOROUGH / MUNICIPAL / CITY OFFICIALS and CANDIDATES: File with city or borough clerk where you hold or seek office.

FILE ELECTRONICALLY to APOC: doa.apoc.reports@alaska.gov

THIS IS A PUBLIC DOCUMENT

NOTE: Public officials who are required to file this disclosure statement may have additional obligations to disclose conflicts of interest or potential conflicts under state executive, legislative or judicial ethics rules or personnel rules. Legislators who are required to file this disclosure statement have additional disclosure and reporting requirements imposed by the Select Committee on Legislative Ethics. Local officials may also be governed by local ethics ordinances or personnel rules.

Disclosure forms, guidelines, laws and regulations are online http://doa.alaska.gov/apoc/ or from APOC offices.

ALASKA PUBLIC OFFICES COMMISSION

ANCHORAGE OFFICE:
2221 E. Northern Lights Blvd – Room 128
Anchorage, AK 99508-4149
907-276-4176 / Toll-free 800-478-4176
Fax 907-276-7018

JUNEAU OFFICE:
240 Main St. – Room 500
Mail: P.O. Box 110222
Juneau, AK 99811-0222
907-465-4864 / Fax 907-465-4832

E-mail APOC: doa.apoc@alaska.gov
File electronic disclosure statements to: doa.apoc.reports@alaska.gov

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

RECEIVED

MAR 15 2012

MUNICIPALITY OF SKAGWAY

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011

FINANCIAL DISCLOSURE STATEMENT FOR: PUBLIC OFFICIALS, LEGISLATORS & CANDIDATES

EXECUTIVE BRANCH: Governor, Lt. Gov., commissioners, directors, special assistants and legislative liaisons; state boards and commissions; procurement and investment officers; city/borough officials; candidates.

JUDICIAL BRANCH: Justices, judges and magistrates.

LEGISLATIVE BRANCH: Legislators, legislative directors, Select Committee on Legislative Ethics.

FOR MORE INFORMATION, LAWS AND REGULATIONS: visit APOC online at: doa.alaska.gov/apoc.

Contact APOC directly:
- ANCHORAGE: 2221 E. Northern Lights Blvd., Room 128, Anchorage, AK 99508 / 907-276-4176 / Fax 907-276-7018
- JUNEAU: 240 Main St., Room 500 / P.O. Box 110222, Juneau, AK 99811 / 907-465-4864 / Fax 907-465-4832
- TOLL-FREE: 800-478-4176 / Online contact info: http://doa.alaska.gov/apoc/

THIS IS A PUBLIC DOCUMENT – DO NOT INCLUDE CONFIDENTIAL INFORMATION (i.e., SOCIAL SECURITY NUMBERS, ACCOUNT NUMBERS)

THIS REPORT IS A SWORN STATEMENT. YOUR SIGNATURE ON THE LAST PAGE CERTIFIES THAT THIS DISCLOSURE IS TRUE, CORRECT and COMPLETE.

NAME: DANIEL J. HENRY

MAILING ADDRESS: 560 11TH AVE P.O. BOX 279
Street Address or P.O. Box, City, Zip Code

CONTACT PHONE(S): 907-983-2010 **Fax:** 3733

E-MAIL: SFCALASKA@HOTMAIL.COM

SPOUSE / DOMESTIC PARTNER: EILEEN M. HENRY

DEPENDENT CHILDREN: _____ **NON-DEPENDENT CHILDREN LIVING WITH YOU:** _____
Report number of children, including stepchildren, adoptive children. Legislative filers: List non-dependent children living with you.

NAME NON-DEPENDENT CHILDREN LIVING with YOU: _____

WHY ARE YOU FILING? ☑ **OFFICE HOLDER** or ☐ **CANDIDATE**

OFFICE HELD OR SOUGHT: ASSEMBLYMEMBER
(Municipal Filers: Include the office and the name of your Municipality)

☐ **INITIAL STATEMENT:** Due 30 days from appointment for new public officials (and annually thereafter).
☑ **ANNUAL STATEMENT:** Due by March 15 – for incumbent officials.
☐ **FINAL STATEMENT:** Due 90 days after leaving office – From _____ through _____.
(Include all information not reported on a previously filed statement through your last day of office.)
☐ **CANDIDATE STATEMENT:** Due when filing declaration of candidacy

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011
SCHEDULE A: SOURCES OF INCOME OVER $1,000

1. SALARIED EMPLOYMENT **NONE: check box →** ☑

- Income means anything of value and covers all forms of compensation or benefits received from an employer; compensation or benefits include wages, salary, commissions, tips, bonuses, housing, use of an automobile and deferred compensation.
- Report each employer who paid you, your spouse, domestic partner or children covered by reporting requirements more than $1,000. Include amount of income, dates of employment, terms of employment, amount of time worked. Describe the work performed in sufficient detail to make it clear to a person of ordinary understanding.
- PLEASE NOTE: 2 AAC 50.685(c) The amount of <u>any income</u> more than $1,000 that must be reported, or the value of a gift more than $250 that must be reported, may be stated in a range rather than as an exact amount. The ranges to be used for this purpose are the following: (1) more than $250 and no more than $1,000, for gifts only; (2) more than $1,000 and no more than $2,000; (3) more than $2,000 and no more than $5,000; (4) more than $5,000 and no more than $10,000; (5) more than $10,000 and no more than $20,000; (6) more than $20,000 and no more than $50,000; (7) more than $50,000 and no more than $100,000; (8) more than $100,000 and no more than $200,000; (9) more than $200,000 and no more than $500,000; (10) more than $500,000 and no more than $1,000,000; (11) more than $1,000,000.

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

_____

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

_____

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011

SCHEDULE A: SOURCES OF INCOME OVER $1,000

2. SELF-EMPLOYMENT **NONE: check box →** ☑

- List each source of self-employment income over $1000 by name and amount. Income means anything of value and covers all forms of compensation, including deferred income and attorney contingency fees. For clarification, see AS 39.50.200(10), "source of income"; 2 AAC 50.799(a), definition of self-employment; 2 AAC 50.695, reporting deferred income; and 2 AAC 50.704 – reporting income from attorney contingency fee agreements.
- Disclose each client, customer or business that paid you, your spouse/domestic partner or child more than $1,000. Self-employment includes sole proprietors, partnerships, limited liability companies, professional corporations. See 2 AAC 50.700(a)
- Disclose income from corporations in which the filer, alone or in combination with one or more family members, holds a controlling interest as defined under 2 AAC 50.700(b)
- Exemptions: To obtain an exemption, you must qualify under the law, you must file a written request, and you must receive an exemption from the commission. Exemption rules: AS 39.50.035, 2 AAC 50.775, 2 AAC 50.821
- For detailed information on source of income see AS 39.50.200(10) "source of income".

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $** _____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours): _____

Business name: _____

Client / Customer name: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

_____

_____

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $** _____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours): _____

Business name: _____

Client / customer name: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

_____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

4. RENTAL INCOME

NONE: check box → ☑

- If any person paid more than $1000 in rent during the preceding calendar year, report the name of the person and the amount of the rent paid, and, if the property is managed by a person other than the filer or a family member of the filer, **additionally** report the manager's name. 2 AAC 50.725 Disclose the location of the property under "Real Property Interests"

OWNER:	TENANTS WHO PAID > $1,000	AMOUNT
☐ Filer		
☐ Spouse or domestic partner		
☐ Child		
☐ Co-owner with others		

5. DIVIDENDS and INTEREST

NONE: check box → ☐

- The 2011 PFD Amount is $1,174.00. Please remember to add your PFDs to this section if applicable.
- Disclose source and amount of income over $1000 received from dividends, interest and other distributions of earnings from a business or investment
- Include dividends or interest received from bank accounts, capital gains, money market accounts, certificates of deposit, Native corporation dividends, Permanent Fund dividends
- Note: This section refers only to amounts received during the reporting period; there is a separate section for disclosing business interest information.

RECIPIENT	SOURCE	AMOUNT
☑ Filer ☐ Child ☐ Spouse/ partner	PFD	1174.00
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

6. OTHER INCOME

NONE: check box → ☑

List source and amount of income over $1,000 not listed elsewhere in this form, including sale of goods or property, taxable capital gains, pensions, retirement account cash-outs, government entitlements, alimony or child support payments, honoraria and any other payments not otherwise accounted for.

RECIPIENT	SOURCE	AMOUNT
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		

7. GIFTS WORTH MORE THAN $250

NONE: check box → ☑

- Public Official Filers ONLY - Legislative filers are NOT required to fill in this section.
- Legislators must submit more detailed disclosure reports to the Legislative Ethics Committee.
- Report all gifts worth more than $250 (including gifts from a single source with a cumulative value of more than $250). Include travel expenses, discounts not available to the public, loans forgiven or loans paid by a third party. Do not report gifts from spouse, domestic partner, parent, dependent child, sibling, grandparent, aunt, uncle, niece or nephew.

RECIPIENT	DESCRIPTION	SOURCE	VALUE
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011
SCHEDULE B

BUSINESS INTERESTS **NONE: check box →** ☐

Report business interests even if they were NOT a source of income, including businesses in which the filer or family member (spouse, domestic partner, dependent children and, for legislative branch filers ONLY - nondependent children living with the filer):
1) Served as stockholder, owner, officer, director, partner, proprietor, employee, or held an interest.
2) Had ownership interests of more than $1,000 in a publicly traded corporation.
3) Had any other ownership interest in a business, including shares in non-publicly traded corporations, sole proprietorships, or limited liability companies. Include options to buy.
4) Include non-profit organizations, corporations, businesses, associations, trade groups.
If the business was a source of income over $1,000, it must also be reported in Schedule A.

☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Position/Type of interest:** _____
Business name: SKAGWAY FISH COMPANY
Business address: 210 CONGRESS WAY
DETAILED DESCRIPTION of business activity: RESTAURANT

☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Position/Type of interest:** _____
Business name: _____
Business address: _____
DETAILED DESCRIPTION of business activity: _____

☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Position/Type of interest:** _____
Business name: _____
Business address: _____
DETAILED DESCRIPTION of business activity: _____

☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Position/Type of interest:** _____
Business name: _____
Business address: _____
DETAILED DESCRIPTION of business activity: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011
SCHEDULE C

REAL PROPERTY INTERESTS **NONE: check box →** ☐

- PLEASE NOTE: Report an interest in real property by the address or other legal description of the property, except that a primary residence or recreational property held for personal use may be described only by zip code. 2 AAC 50.720 **(Enter 'Not Reported' for Address and City if this applies to you.)**
- Report the nature of the interest that the filer or family member held in the property; the nature of interests to be reported includes fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase. If property is jointly owned, check all boxes that apply

OWNER(S): ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: 560 11TH AVE
City or Borough / State: SKAGWAY, ALASKA
Ownership interest: HOMEOWNER
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or Borough / State: _____
Ownership interest: _____
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or Borough / State: _____
Ownership interest: _____
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or Borough / State: _____
Ownership interest: _____
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or Borough / State: _____
Ownership interest: _____
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011

SCHEDULE D

TRUSTS, RETIREMENT ACCOUNTS OR OTHER BENEFICIAL INTERESTS OVER $1,000

NONE: ☑

- Report each trust, retirement account or other beneficial interest that exceeded $1,000 during the reporting period, including a state or federally administered retirement system plan, employee pension plans, profit-sharing trusts, family trust, education trusts, deferred compensation plans, annuity plans or any other similar arrangement intended to provide future income the filer or family member.
- Identify individual investments accounts if you or family members manage or personally control the investments.

ASSETS – OWNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / PERCENT: _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / PERCENT: _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / PERCENT: _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / PERCENT: _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ Filer / ☐ Spouse/domestic partner / ☐ Child / PERCENT: _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011
SCHEDULE E

1. LOANS, LOAN GUARANTEES & DEBTS OVER $1,000

NONE: check box → ☐

- Report each creditor or lender to whom more than $1,000 was owed during the reporting period.
- Report guarantor of each loan.
- List financial obligations, including mortgages on property owned or sold during the reporting period; loans that have been guaranteed; delinquent taxes; alimony; child support payments; medical bills; boat and vehicle loans; business and personal loans; escrows; student loans; signature loans and promissory notes.
- Loans include secured, unsecured and contingent loans.
- Do NOT list credit card obligations or revolving charge accounts.

DEBTOR: ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** WELLS FARGO

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** TOYOTA MOTOR CREDIT

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** _____

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** _____

2. FOR LEGISLATIVE BRANCH FILERS ONLY

NONE: check box → ☑

Legislative branch filers must report additional details: original amount of the obligation, the current balance owed, interest rate, length of the loan and whether a written agreement exists for a creditor or lender who:

- Lobbies or hired lobbyists
- Had contracts or sought contracts worth more than $10,000 with any state agency
- Was a municipal or local government entity
- Was affected financially – in an amount exceeding $1,000 – by an act of the legislature or state agency decision, including actions affecting professional or occupational licenses; natural resource permits or quotas; assessments; tax rates; health, safety or environmental standards; insurance or business practices.

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER or** ☐ **CREDITOR / Name:** _____

Address: _____

Original loan: $_____ Balance **owed:** $_____ **Interest rate:** _____%

Term: ☐ _____ **years** ☐ _____ **months / WRITTEN LOAN AGREEMENT?** ☐ **Yes /** ☐ **No**

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011
SCHEDULE F

1. GOVERNMENT CONTRACTS & OFFERS TO CONTRACT

NONE: check box → ☐

- List all contracts, bids and offers to contract with the state or any state or municipal agency or entity.
- Report contract interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company, or through a corporation in which filer or family members held a controlling interest.

CONTRACTOR: ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / TYPE of INTEREST:** _____

☐ **Bid /** ☐ **Offer /** ☐ **Held / CONTRACT ID (name/number):** _____

CONTRACTING AGENCY: MUNICIPALITY OF SKAGWAY

CONTRACT DESCRIPTION: SKAGWAY FISH COMPANY
210 CONGRESS WAY SKAGWAY AK 99840

CONTRACTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / TYPE of INTEREST:** _____

☐ **Bid /** ☐ **Offer /** ☐ **Held / CONTRACT ID (name/number):** _____

CONTRACTING AGENCY: _____

CONTRACT DESCRIPTION: _____

_____

2. NATURAL RESOURCE LEASES

NONE: check box → ☑

- List natural resource leases – including mineral, timber, oil and gas leases – held, bid or offered during the reporting period.
- Report lease interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company, or corporation in which you or family (individually or together) held controlling interest.

LEASEHOLDER: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / TYPE of INTEREST:** _____

☐ **Bid /** ☐ **Offer /** ☐ **Held / LEASE ID (name/number):** _____

LEASE DESCRIPTION: _____

LEASEHOLDER: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / TYPE of INTEREST:** _____

☐ **Bid /** ☐ **Offer /** ☐ **Held / LEASE ID (name/number):** _____

LEASE DESCRIPTION: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011
SCHEDULE G

1. CLOSE ECONOMIC ASSOCIATIONS

NONE: check box → ☑

- EXEMPT:
- Municipal and local officials and members of state boards and commissions are exempt from reporting close economic associations. Check the box for NONE.
- NOT EXEMPT:
- STATE PUBLIC OFFICIALS: Disclose financial relations with legislators, other public officials and lobbyists.
- LEGISLATIVE BRANCH: Disclose financial relations with public officials, lobbyists, other legislators, and legislative employees. Report close economic association detailed information to the Legislative Ethics Committee.
- DEFINITION:
- CLOSE ECONOMIC ASSOCIATION means a financial relationship between public officials, legislators and lobbyists, including shared interests in a business, property, association, partnership, corporation or LLC.
- CHANGES: Report new close economic associations within 60 days.

PERSON DISCLOSING ECONOMIC ASSOCIATION: ☐ **Filer** / ☐ **Spouse/domestic partner** / ☐ **Child**

PERSON with WHOM ASSOCIATION EXISTS: _____

DESCRIPTION of ECONOMIC ASSOCIATION: _____

_____

PERSON DISCLOSING ECONOMIC ASSOCIATION: ☐ **Filer** / ☐ **Spouse/domestic partner** / ☐ **Child**

PERSON with WHOM ASSOCIATION EXISTS: _____

DESCRIPTION of ECONOMIC ASSOCIATION: _____

_____

2. FILERS WITH A LOBBYIST SPOUSE or DOMESTIC PARTNER

NONE: check box → ☑

- EXEMPT: Local officials and members of state boards and commissions are exempt. Check NONE.
- STATE PUBLIC OFFICIALS with a lobbyist spouse or domestic partner: Report names and addresses of each employer of the lobbyist and the total monetary value received from each of the lobbyist's employers.
- LEGISLATIVE BRANCH filers with a lobbyist spouse or domestic partner: Disclose employer of lobbyist and compensation, and report details to the Legislative Ethies Committee.
- CHANGES: Report changes in lobbyist's employer within 48 hours of the change.

LOBBYIST'S EMPLOYER: NAME & ADDRESS	COMPENSATION

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2012 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2011– Dec. 31, 2011

CERTIFICATION

I certify under penalty of perjury that the foregoing is true and the information in this disclosure statement is, to the best of my knowledge, true, correct and complete. A person who makes a false sworn certification which he or she does not believe to be true is guilty of perjury.

SIGNATURE: _____

If you are filing online, you must have an Electronic Filing Agreement with APOC to use an electronic signature.

DANIEL J. HENRY — 3/15/2012

NAME of FILER — **DATE &PLACE SIGNED / FILED**

All officials and candidates who are required to file disclosure statements are solely responsible for filing complete, accurate and truthful statements by the deadlines.

WHERE TO FILE THIS STATEMENT

STATE OFFICIALS: File initial, annual and final statements with the Alaska Public Offices Commission.

STATE CANDIDATES: File with the Division of Elections along with Declaration of Candidacy.

BOROUGH / MUNICIPAL / CITY OFFICIALS and CANDIDATES: File with city or borough clerk where you hold or seek office.

FILE ELECTRONICALLY to APOC: doa.apoc.reports@alaska.gov

THIS IS A PUBLIC DOCUMENT

NOTE: Public officials who are required to file this disclosure statement may have additional obligations to disclose conflicts of interest or potential conflicts under state executive, legislative or judicial ethics rules or personnel rules. Legislators who are required to file this disclosure statement have additional disclosure and reporting requirements imposed by the Select Committee on Legislative Ethics. Local officials may also be governed by local ethics ordinances or personnel rules.

Laws and regulations are online at http://doa.alaska.gov/apoc/ or are available from APOC offices.

ALASKA PUBLIC OFFICES COMMISSION

ANCHORAGE OFFICE:
2221 E. Northern Lights Blvd – Room 128
Anchorage, AK 99508-4149
907-276-4176 / Toll-free 800-478-4176
Fax 907-276-7018

JUNEAU OFFICE:
240 Main St. – Room 500
Mail: P.O. Box 110222
Juneau, AK 99811-0222
907-465-4864 / Fax 907-465-4832

E-mail APOC: doa.apoc@alaska.gov
File electronic disclosure statements to: doa.apoc.reports@alaska.gov

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

POFD Form

Filer Information

RECEIVED
MAR 0 8 2012
MUNICIPALITY OF SKAGWAY

First Name: **DAVID**
Last Name: **HUNZ**
Address: **PO Box 185**
City, State Zip: **Skagway, Alaska 99840**
Contact Phone: **(907)612-0935**
Alternate Phone: **(907)983-2588**
Fax (Optional): **(907)983-2589**
Email: **davehunz@hotmail.com**
Partner Type: **Spouse**
Spouse/Domestic Partner Name: **Pamela Hunz**
Dependent Children: **0**
Non-Dependent Children: **0**

Purpose of Filing

Report Dates: From **01/01/2011** Through **12/31/2011**
Filing As: **Office Holder**
Branch: **Local Municipal Government**
Report Type: **Annual**

Income

Owner	Type	Detail	Description	Amount
Filer	Salaried	White Pass & Yukon Route PO Box 435 Skagway, Alaska 99840 Seasonal From: 03/15/2011 Through 10/15/2011 Time Worked: 8 months	Railroad engineer and conductor for summer tourist train	**$50,000 - $100,000**
Filer	Salaried	Mineral Services Inc. PO Box 519 Skagway, Alaska 99840	Manager of Skagway Ore Terminal - Oversee unloading of ore trucks, storage of	**$50,000 - $100,000**

Owner	Type	Detail	Description	Amount
		Full-time From: 01/01/2011 Through 12/31/2011 Time Worked: 12 months	ore, loading ore onto ships, reports to proper agencies.	
Filer	Salaried	Municipality of Skagway PO Box 415 Skagway, Alaska 99840 Part-time From: 01/01/2011 Through 12/31/2011 Time Worked: Paid per meeting	Elected Assemblyman	**$2,000 - $5,000**
Filer	Rental	Tenant: Government Services Administration		**$50,000 - $100,000**
Filer	Rental	Tenant: Holland America Princess Alaska	Steve Funk	**$100,000 - $200,000**
Filer	Rental	Tenant: Gold Rush Dog Tours, LLC	Don Turner	**$5,000 - $10,000**
Filer	Rental	Tenant: Klondike Gold Dredge	Tom Hall	**$20,000 - $50,000**
Filer	Dividend or Interest	PFD		**$1,000 - $2,000**
Spouse	Dividend or Interest	PFD		**$1,000 - $2,000**
Filer	Dividend or Interest	Mineral Services Inc.		**$50,000 - $100,000**
Filer	Self Employment	Hunz & Hunz Enterprises Project From: 01/01/2011 Through 12/31/2011 Time Worked: Varied	Equipment Rental, Sand & Gravel Sales, Concrete Sales, Excavation & Construction, Remodeling	**$1,000,000 or more**
		Client Names	**Client Addresses**	**Client Amount**
		Alaska Power & Telephone	PO Box 3222 Port Townsend, WA 98368	$5,000 - $10,000
		Holland America	300 Elliott Ave. West Seattle, WA	$1,000 - $2,000

Owner	Type	Detail	Description	Amount
			98119	
		McGraw's Custom Construction	PO Box 718 Sitka, AK 99835	$100,000 - $200,000
		Mineral Services Inc.	PO Box 519 Skagway, AK 99840	$100,000 - $200,000
		Municipality of Skagway	PO Box 415 Skagway, AK 99840	$100,000 - $200,000
		Northland Services, Inc.	PO Box 24527 Seattle, WA 98124	$1,000 - $2,000
		Pacific Pile & Marine, L.P.	700 S Riverside Drive Seattle, WA 98108	$10,000 - $20,000
		Princess Cruises and Tours Inc	24305 Town Center Drive Santa Clarita, CA 91355	$200,000 - $500,000
		Taiya Inlet Watershed Council	PO Box 826 Skagway, AK 99840	$5,000 - $10,000
		US Customs and Boarder Protection	6650 Telecom Drive Indianapolis, IN 46278	$1,000 - $2,000
		White Pass and Yukon Route	PO Box 435 Skagway, AK 99840	$100,000 - $200,000
		Y&D Enterprises, Inc. DBA Clayton Venture Properties	PO Box 1357 Haines, AK 99827	$1,000 - $2,000
		Alaska Glacier Seafood	PO Box 34363 Juneau, AK 99803	$2,000 - $5,000
		Aric Baldwin	PO Box 846 Skagway, AK 99840	$5,000 - $10,000
		Jimmy Boynton	PO Box 1302 Skagway, AK 99840	$2,000 - $5,000
		Dorothy Brady	PO Box 498 Skagway, AK 99840	$10,000 - $20,000
		David Brena	PO Box 377 Skagway, AK	$2,000 - $5,000

Owner	Type	Detail	Description	Amount
			99840	
		Skagway Brewing Company	PO Box 946 Skagway, AK 99840	$1,000 - $2,000
		Cory Bricker	PO Box 514 Skagway, AK 99840	$1,000 - $2,000
		John Broderson	PO Box 229 Skagway, AK 99840	$2,000 - $5,000
		Kamal Chhabria	PO Box 393 Skagway, AK 99840	$1,000 - $2,000
		Chilkat Guides	PO Box 170 Haines, AK 99827	$2,000 - $5,000
		Chilkoot Trail Outpost	PO Box 286 Skagway, AK 99840	$1,000 - $2,000
		Kenneth Cox	PO Box 615 Skagway, AK 99840	$10,000 - $20,000
		Tina Cyr	PO Box 21 Skagway, AK 99840	$1,000 - $2,000
		Doland Construction	PO Box 455 Skagway, AK 99840	$20,000 - $50,000
		Sourdough Car Rental	PO Box 391 Skagway, AK 99840	$2,000 - $5,000
		Scott Eskins	PO Box 210 Skagway, AK 99840	$2,000 - $5,000
		Valerie Feero	PO Box 333 Skagway, AK 99840	$5,000 - $10,000
		Elaine Furbish	PO Box 1076 Skagway, AK 99840	$5,000 - $10,000
		Garden City RV	PO Box 228 Skagway, AK 99840	$1,000 - $2,000

Owner	Type	Detail	Description	Amount
		Tim Gladden	PO Box 270 Skagway, AK 99840	$1,000 - $2,000
		Hamilton Construction	PO Box 648 Skagway, AK 99840	$5,000 - $10,000
		Josh Hunter	PO Box 11 Skagway, AK 99840	$10,000 - $20,000
		Mark Jennings	PO Box 604 Skagway, AK 99840	$1,000 - $2,000
		Klondike Gold Dredge	PO Box 558 Skagway, AK 99840	$1,000 - $2,000
		Peter Lucchetti	PO Box 642 Skagway, AK 99840	$2,000 - $5,000
		Mountain View RV Park	PO Box 678 Skagway, AK 99840	$1,000 - $2,000
		State of Alaska - DOT	PO Box 11024 Juneau, AK 99811-0204	$20,000 - $50,000
		Klondike Goldrush NHP	PO Box 517 Skagway, AK 99840	$10,000 - $20,000
		Dine Pahed	PO Box 621 Skagway, AK 99840	$2,000 - $5,000
		Skagway Pizza Station	PO Box 280 Skagway, AK 99840	$1,000 - $2,000
		John Reed	PO Box 793 Skagway, AK 99840	$1,000 - $2,000
		Sgt. Preston's Lodge	PO Box 538 Skagway, AK 99840	$1,000 - $2,000
		Cory Thole	PO Box 1134 Skagway, AK 99840	$10,000 - $20,000

Owner	Type	Detail	Description	Amount
		Skagway Sculpture Garden	PO Box 1003 Skagway, AK 99840	$2,000 - $5,000
		Jan Wrentmore	PO Box 271 Skagway, AK 99840	$10,000 - $20,000
		Michael Yee	PO Box 75 Skagway, AK 99840	$2,000 - $5,000
Filer	Self Employment	Klondike Fuels Full-time From: 01/01/2011 Through 12/31/2011 Time Worked: Varied	Home heating fuel deliveries, Commercial heating & equipment fuel deliveries	**$500,000 - $1,000,000**
		Client Names	**Client Addresses**	**Client Amount**
		Richard Ackerman	PO Box 64 Skagway, AK 99840	$1,000 - $2,000
		Darren Belisle	PO Box 56 Skagway, AK 99840	$2,000 - $5,000
		Jimmy Boynton	PO Box 1302 Skagway, AK 99840	$2,000 - $5,000
		John Broderson	PO Box 229 Skagway, AK 99840	$2,000 - $5,000
		Stuart Brown	PO Box 1090 Skagway, AK 99840	$5,000 - $10,000
		Linda Calver	PO Box 458 Skagway, AK 99840	$2,000 - $5,000
		Municipality of Skagway	PO Box 415 Skagway, AK 99840	$200,000 - $500,000
		Greg Clem	PO Box 1061 Skagway, AK 99840	$1,000 - $2,000

Owner	Type	Detail	Description	Amount
		Kenneth Cox	PO Box 615 Skagway, AK 99840	$2,000 - $5,000
		Matt Deach	PO Box 821 Skagway, AK 99840	$2,000 - $5,000
		Carlin Donahue	PO Box 478 Skagway, AK 99840	$2,000 - $5,000
		Tim Forester	PO Box 1311 Skagway, AK 99840	$1,000 - $2,000
		Gold Rush Restaurant	PO Box 290 Skagway, AK 99840	$5,000 - $10,000
		Thor Henricksen	PO Box 107 Skagway, AK 99840	$2,000 - $5,000
		Rick Hess	PO Box 843 Skagway, AK 99840	$1,000 - $2,000
		Bill Hunz	PO Box 305 Skagway, AK 99840	$2,000 - $5,000
		Kosters Construction	PO Box 371 Skagway, AK 99840	$2,000 - $5,000
		Chris Maggio	PO Box 166 Skagway, AK 99840	$1,000 - $2,000
		Eric Moseley	PO Box 666 Skagway, AK 99840	$2,000 - $5,000
		Mineral Services Inc.	PO Box 519 Skagway, AK 99840	$10,000 - $20,000
		Mile Zero	PO Box 165 Skagway, AK 99840	$2,000 - $5,000
		Holland America Princess Alaska	800 5th Ave, Box 101-114 Seattle, WA 98104-3102	$10,000 - $20,000

Owner	Type	Detail	Description	Amount
		Aaron Rodig	PO Box 373 Skagway, AK 99840	$2,000 - $5,000
		Mark Schaefer	PO Box 297 Skagway, AK 99840	$1,000 - $2,000
		Skagway Schools	PO Box 497 Skagway, AK 99840	$50,000 - $100,000
		Josh Sims	PO Box 785 Skagway, AK 99840	$1,000 - $2,000
		Swami Alaska LLC	335 S. Biscayne Blvd. #3009 Miami, FL 33131	$2,000 - $5,000
		Paul Taylor	PO Box 241 Skagway, AK 99840	$2,000 - $5,000

INTERESTS

Owner	Type	Detail	Description	Interest
Filer	Business	Business Name: Hunz & Hunz Enterprises Mile 2.0 Klondike Hwy. Skagway, Alaska 99840	General Contractor - Limited Residential, sale of sand, gravel and concrete, equipment rental	Position / Type: Owner
Filer	Business	Business Name: Klonike Fuels Mile 2.0 Klondike Hwy. Skagway, Alaska 99840	Home heating fuel sales and delivery. Fuel delivery to Municipality of Skagway by contract	Position / Type: Owner
Filer	Business	Business Name: Mineral Service Inc. 116 Ore Terminal	Ore Terminal Management Services	Position / Type: President / Share Holder

Owner	Type	Detail	Description	Interest
		Rd. Skagway, Alaska 99840		
Filer	Business	Business Name: Klondike Gold Dredge LLC Mile 2.3 Klondike Hwy. Skagway, Alaska 99840	Gift Shop, Dredge Tours, Gold Panning	Position / Type: Member
Filer	Business	Business Name: Yukon Adventures #30 Laberge Rd., Kulan Industrial Area Whitehorse, Yukon Y1A5Y9 CAN	Charter Boat	Position / Type: President
Spouse	Business	Business Name: Temptations 5th & Broadway Skagway, Alaska 99840	Ladies clothing boutique	Position / Type: Owner/Partner
Filer	Real Property	Block ATS #4, Lease ptn Skagway, Alaska 99840	Ownership Interest: Leasehold	
Filer, Spouse	Real Property	PTN USS Lot 176, Parcel 3 Skagway, Alaska 99840	Ownership Interest: Own	
Filer, Spouse	Real Property	PTN USS 994, Lot 994, Parcel 1 Skagway, Alaska 99840	Ownership Interest: Own	
Filer, Spouse	Real Property	PTN USS Lot 1805, Parcel 2 Skagway, Alaska 99840	Ownership Interest: Own	

Owner	Type	Detail	Description	Interest
Filer, Spouse	Real Property	Block 112, Lot 9 Skagway, Alaska 99840	Ownership Interest: Own	
Filer	Beneficial	Managed By: P.E.R.S. and S.B.B.	Managed through the State of Alaska	Ownership: 100%
Filer	Beneficial	Managed By: D.A. Davidson & Company	Managed by D.A. Davidson Company	Ownership: 100%
Filer	Beneficial	Managed By: Union 401-K Retirement Plan	White Pass & Yukon Route	Ownership: 100%

Loans and Debts

Owner	Type	Name
Filer, Spouse	Lender	Wells Fargo Bank
Filer	Lender	Caterpillar Financial Services
Filer, Spouse	Lender	Key Bank
Filer	Lender	Viking Bank

Leases

Owner	Type of Lease	Lease/Contract ID	Interest	Status	Description
Filer	Government	Skagway Incinerator Building Addition	Sole Proprietor	Held	Build an addition on the Skagway Municipal Incinerator Building
Filer	Government	Skagway Rec. Center Arctic Entry & South Wall Repair Project	Sole Proprietor	Held	Build and arctic entry and repair the south wall on the Skagway Municipal Rec Center building

Close Economic Associations

Person Disclosing Association	Associated Person	Description
No Associations / Nothing to Report		

LOBBYIST PARTNER EMPLOYERS

Name	Address	Compensation
No Lobbyist Partner Employers / Nothing to Report		

RECEIVED
MAR 1 5 2013
MUNICIPALITY OF SKAGWAY

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT

Covers the reporting period Jan. 1, 2012– Dec. 31, 2012

FINANCIAL DISCLOSURE STATEMENT FOR:
PUBLIC OFFICIALS, LEGISLATORS & CANDIDATES

EXECUTIVE BRANCH: Governor, Lt. Gov., commissioners, directors, special assistants and legislative liaisons; state boards and commissions; procurement and investment officers; city/borough officials; candidates.

JUDICIAL BRANCH: Justices, judges and magistrates.

LEGISLATIVE BRANCH: Legislators, legislative directors, Select Committee on Legislative Ethics.

FOR MORE INFORMATION, LAWS AND REGULATIONS: visit APOC online at: doa.alaska.gov/apoc.

Contact APOC directly:
- ANCHORAGE: 2221 E. Northern Lights Blvd., Room 128, Anchorage, AK 99508 / 907-276-4176 / Fax 907-276-7018
- JUNEAU: 240 Main St., Room 500 / P.O. Box 110222, Juneau, AK 99811 / 907-465-4864 / Fax 907-465-4832
- TOLL-FREE: 800-478-4176 / Online contact info: http://doa.alaska.gov/apoc/

THIS IS A PUBLIC DOCUMENT – DO NOT INCLUDE CONFIDENTIAL INFORMATION (i.e., SOCIAL SECURITY NUMBERS, ACCOUNT NUMBERS)

THIS REPORT IS A SWORN STATEMENT. YOUR SIGNATURE ON THE LAST PAGE CERTIFIES THAT THIS DISCLOSURE IS TRUE, CORRECT and COMPLETE.

NAME: DANIEL J. HENRY

MAILING ADDRESS: P.O. BOX 279 SKAGWAY, AK. 99840
Street Address or P.O. Box, City, Zip Code

CONTACT PHONE(S): 907-983-2010 **Fax:** NA

E-MAIL: SFCALASKA@HOTMAIL.COM

SPOUSE / DOMESTIC PARTNER: EILEEN M. HENRY

DEPENDENT CHILDREN: _____ **NON-DEPENDENT CHILDREN LIVING WITH YOU:** _____
Report number of children, including stepchildren, adoptive children. Legislative filers: List non-dependent children living with you.

NAME NON-DEPENDENT CHILDREN LIVING with YOU: _____

WHY ARE YOU FILING? ☒ **OFFICE HOLDER** or ☐ **CANDIDATE**

OFFICE HELD OR SOUGHT: ASSEMBLY MEMBER
(Municipal Filers: Include the office and the name of your Municipality)

☐ **INITIAL STATEMENT: Due 30 days from appointment for new public officials (and annually thereafter).**
☒ **ANNUAL STATEMENT: Due by March 15 – for incumbent officials.**
☐ **FINAL STATEMENT: Due 90 days after leaving office – From _____ through _____.**
(Include all information not reported on a previously filed statement through your last day of office.)
☐ **CANDIDATE STATEMENT: Due when filing declaration of candidacy**

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012
SCHEDULE A: SOURCES OF INCOME OVER $1,000

1. SALARIED EMPLOYMENT **NONE: check box →** ☑

- Income means anything of value and covers all forms of compensation or benefits received from an employer; compensation or benefits include wages, salary, commissions, tips, bonuses, housing, use of an automobile and deferred compensation.
- Report each employer who paid you, your spouse, domestic partner or children covered by reporting requirements more than $1,000. Include amount of income, dates of employment, terms of employment, amount of time worked. Describe the work performed in sufficient detail to make it clear to a person of ordinary understanding.
- PLEASE NOTE: 2 AAC 50.685(c) The amount of <u>any income</u> more than $1,000 that must be reported, or the value of a gift more than $250 that must be reported, may be stated in a range rather than as an exact amount. The ranges to be used for this purpose are the following: (1) more than $250 and no more than $1,000, for gifts only; (2) more than $1,000 and no more than $2,000; (3) more than $2,000 and no more than $5,000; (4) more than $5,000 and no more than $10,000; (5) more than $10,000 and no more than $20,000; (6) more than $20,000 and no more than $50,000; (7) more than $50,000 and no more than $100,000; (8) more than $100,000 and no more than $200,000; (9) more than $200,000 and no more than $500,000; (10) more than $500,000 and no more than $1,000,000; (11) more than $1,000,000.

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

_____

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

_____

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Employer: _____

Address: _____

DETAILED DESCRIPTION of SERVICES PROVIDED: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012

SCHEDULE A: SOURCES OF INCOME OVER $1,000

2. SELF-EMPLOYMENT

NONE: check box → ☑

- List each source of self-employment income over $1,000 by name and amount. Income means anything of value and covers all forms of compensation, including deferred income and attorney contingency fees. For clarification, see AS 39.50.200(10), "source of income"; 2 AAC 50.799(a), definition of self-employment—if you are actively involved with customers as a business owner you may be required to report each client who paid you over $1,000; 2 AAC 50.695, reporting deferred income; and 2 AAC 50.704 – reporting income from attorney contingency fee agreements.
- Disclose each client, customer or business that paid you, your spouse/domestic partner or child more than $1,000. Self-employment includes sole proprietors, partnerships, limited liability companies, professional corporations. See 2 AAC 50.700(a)
- Disclose income from corporations in which the filer, alone or in combination with one or more family members, holds a controlling interest as defined under 2 AAC 50.700(b)
- Exemptions: To obtain an exemption, you must qualify under the law, you must file a written request, and you must receive an exemption from the commission. Exemption rules: AS 39.50.035, 2 AAC 50.775, 2 AAC 50.821
- For detailed information on source of income see AS 39.50.200(10) "source of income".

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Business name: _____

Client / Customer name: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

_____

_____

EARNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Total income: $**_____

☐ **Full-time** ☐ **Part-time** ☐ **Seasonal** ☐ **Commission** ☐ **Project** ☐ **Hourly / Dates:** _____

If work is not full-time, specify amount of time worked (months/days/hours):_____

Business name: _____

Client / customer name: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

Client / customer address: _____

DETAILED DESCRIPTION of services provided: _____

_____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012

4. RENTAL INCOME

NONE: check box → ☑

- If any person paid more than $1,000 in rent during the preceding calendar year, report the name of the person and the amount of the rent paid, and, if the property is managed by a person other than the filer or a family member of the filer, **additionally** report the manager's name. 2 AAC 50.725 Disclose the location of the property under "Real Property Interests"

OWNER:	TENANTS WHO PAID > $1,000	AMOUNT
☐ Filer		
☐ Spouse or domestic partner		
☐ Child		
☐ Co-owner with others		

5. DIVIDENDS and INTEREST

NONE: check box → ☐

- The 2012 PFD Amount is $878.00. Please remember to add your PFDs to this section if applicable.
- Disclose source and amount of income over $1,000 received from dividends, interest and other distributions of earnings from a business or investment
- Include dividends or interest received from bank accounts, capital gains, money market accounts, certificates of deposit, Native corporation dividends, Permanent Fund dividends
- Note: This section refers only to amounts received during the reporting period; there is a separate section for disclosing business interest information.

RECIPIENT	SOURCE	AMOUNT
☑ Filer ☐ Child ☐ Spouse/ partner	PFD	
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

6. OTHER INCOME

NONE: check box → ☑

List source and amount of income over $1,000 not listed elsewhere in this form, including sale of goods or property, taxable capital gains, pensions, retirement account cash-outs, government entitlements, alimony or child support payments, honoraria and any other payments not otherwise accounted for.

RECIPIENT	SOURCE	AMOUNT
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		
☐ Filer ☐ Child ☐ Spouse/ partner		

7. GIFTS WORTH MORE THAN $250

NONE: cbeck box → ☑

- Public Official Filers ONLY - Legislative filers are NOT required to fill in this section.
- Legislators must submit more detailed disclosure reports to the Legislative Ethics Committee.
- Report all gifts worth more than $250 (including gifts from a single source with a cumulative value of more than $250). Include travel expenses, discounts not available to the public, loans forgiven or loans paid by a third party. Do not report gifts from spouse, domestic partner, parent, dependent child, sibling, grandparent, aunt, uncle, niece or nephew.

RECIPIENT	DESCRIPTION	SOURCE	VALUE
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			
☐ Filer ☐ Child ☐ Spouse/ partner			

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012
SCHEDULE B

BUSINESS INTERESTS **NONE: check box →** ☐

Report business interests even if they were NOT a source of income, including businesses in which the filer or family member (spouse, domestic partner, dependent children and, for legislative branch filers ONLY - nondependent children living with the filer):
1) Served as stockholder, owner, officer, director, partner, proprietor, employee, or held an interest.
2) Had ownership interests of more than $1,000 in a publicly traded corporation.
3) Had any other ownership interest in a business, including shares in non-publicly traded corporations, sole proprietorships, or limited liability companies. Include options to buy.
4) Include non-profit organizations, corporations, businesses, associations, trade groups.
If the business was a source of income over $1,000, it must also be reported in Schedule A.

☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Position/Type of interest:** _____
Business name: SKAGWAY FISH CO.
Business address: 210 CONGRESS WAY
DETAILED DESCRIPTION of business activity: RESTAURANT

☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Position/Type of interest:** _____
Business name: _____
Business address: _____
DETAILED DESCRIPTION of business activity: _____

☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Cbild / Position/Type of interest:** _____
Business name: _____
Business address: _____
DETAILED DESCRIPTION of business activity: _____

☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / Position/Type of interest:** _____
Business name: _____
Business address: _____
DETAILED DESCRIPTION of business activity: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012
SCHEDULE C

REAL PROPERTY INTERESTS

NONE: check box → ☐

- PLEASE NOTE: Report an interest in real property by the address or other legal description of the property, except that a primary residence or recreational property held for personal use may be described only by zip code. 2 AAC 50.720 **(Enter 'Not Reported' for Address and City if this applies to you.)**
- Report the nature of the interest that the filer or family member held in the property; the nature of interests to be reported includes fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase. If property is jointly owned, check all boxes that apply

OWNER(S): ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: 560 11TH AVE
City or Borough / State: SKAGWAY, ALASKA
Ownership interest: HOMEOWNER
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or Borough / State: _____
Ownership interest: _____
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or Borough / State: _____
Ownership interest: _____
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or Borough / State: _____
Ownership interest: _____
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

OWNER(S): ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child /** ☐ **Other co-owner:** _____
Street address or legal description: _____
City or Borough / State: _____
Ownership interest: _____
(Such as fee simple ownership, tenancy in common, general or limited partnership interest, and holder of an option to purchase)

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012

SCHEDULE D
TRUSTS, RETIREMENT ACCOUNTS OR OTHER BENEFICIAL INTERESTS OVER $1,000

NONE: ☑

- Report each trust, retirement account or other beneficial interest that exceeded $1,000 during the reporting period, including a state or federally administered retirement system plan, employee pension plans, profit-sharing trusts, family trust, education trusts, deferred compensation plans, annuity plans or any other similar arrangement intended to provide future income the filer or family member.
- Identify individual investments accounts if you or family members manage or personally control the investments.

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

ASSETS – OWNED BY: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / PERCENT:** _____

ASSETS – MANAGED BY: _____

ASSETS – IDENTIFY FUND or COMPANIES: _____

_____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012
SCHEDULE E

1. LOANS, LOAN GUARANTEES & DEBTS OVER $1,000 NONE: check box → ☐

- Report each creditor or lender to whom more than $1,000 was owed during the reporting period.
- Report guarantor of each loan.
- List financial obligations, including mortgages on property owned or sold during the reporting period; loans that have been guaranteed; delinquent taxes; alimony; child support payments; medical bills; boat and vehicle loans; business and personal loans; escrows; student loans; signature loans and promissory notes.
- Loans include secured, unsecured and contingent loans.
- Do NOT list credit card obligations or revolving charge accounts.

DEBTOR: ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** WELLS FARGO

DEBTOR: ☑ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** TOYOTA MOTOR CREDIT

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** _____

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER /** ☐ **CREDITOR /** ☐ **GUARANTOR / NAME:** _____

2. FOR LEGISLATIVE BRANCH FILERS ONLY NONE: check box → ☑

Legislative branch filers must report additional details: original amount of the obligation, the current balance owed, interest rate, length of the loan and whether a written agreement exists for a creditor or lender who:

- Lobbies or hired lobbyists
- Had contracts or sought contracts worth more than $10,000 with any state agency
- Was a municipal or local government entity
- Was affected financially – in an amount exceeding $1,000 – by an act of the legislature or state agency decision, including actions affecting professional or occupational licenses; natural resource permits or quotas; assessments; tax rates; health, safety or environmental standards; insurance or business practices.

DEBTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

☐ **LENDER or** ☐ **CREDITOR / Name:** _____

Address: _____

Original loan: $_____ **Balance owed: $**_____ **Interest rate:** _____%

Term: ☐ _____ **years** ☐ _____ **months / WRITTEN LOAN AGREEMENT?** ☐ **Yes /** ☐ **No**

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012
SCHEDULE F

1. GOVERNMENT CONTRACTS & OFFERS TO CONTRACT

NONE: check box → ☐

- List all contracts, bids and offers to contract with the state or any state or municipal agency or entity.
- Report contract interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company, or through a corporation in which filer or family members held a controlling interest.

CONTRACTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / TYPE of INTEREST:** _____

☐ **Bid /** ☐ **Offer /** ☐ **Held / CONTRACT ID (name/number):** _____

CONTRACTING AGENCY: MOS

CONTRACT DESCRIPTION: SKAGWAY FISH CO
210 CONGRESS WAY

CONTRACTOR: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / TYPE of INTEREST:** _____

☐ **Bid /** ☐ **Offer /** ☐ **Held / CONTRACT ID (name/number):** _____

CONTRACTING AGENCY: _____

CONTRACT DESCRIPTION: _____

2. NATURAL RESOURCE LEASES

NONE: check box → ☑

- List natural resource leases – including mineral, timber, oil and gas leases – held, bid or offered during the reporting period.
- Report lease interests as individual, sole proprietor, family member, partnership, professional corporation, limited liability company, or corporation in which you or family (individually or together) held controlling interest.

LEASEHOLDER: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / TYPE of INTEREST:** _____

☐ **Bid /** ☐ **Offer /** ☐ **Held / LEASE ID (name/number):** _____

LEASE DESCRIPTION: _____

LEASEHOLDER: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child / TYPE of INTEREST:** _____

☐ **Bid /** ☐ **Offer /** ☐ **Held / LEASE ID (name/number):** _____

LEASE DESCRIPTION: _____

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012
SCHEDULE G

1. CLOSE ECONOMIC ASSOCIATIONS NONE: check box → ☑

- EXEMPT:
- Municipal and local officials and members of state boards and commissions are exempt from reporting close economic associations. Check the box for NONE.
- NOT EXEMPT:
- STATE PUBLIC OFFICIALS: Disclose financial relations with legislators, other public officials and lobbyists.
- LEGISLATIVE BRANCH: Disclose financial relations with public officials, lobbyists, other legislators, and legislative employees. Report close economic association detailed information to the Legislative Ethics Committee.
- DEFINITION:
- CLOSE ECONOMIC ASSOCIATION means a financial relationship between public officials, legislators and lobbyists, including shared interests in a business, property, association, partnership, corporation or LLC.
- CHANGES: Report new close economic associations within 60 days.

PERSON DISCLOSING ECONOMIC ASSOCIATION: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

PERSON with WHOM ASSOCIATION EXISTS: _____

DESCRIPTION of ECONOMIC ASSOCIATION: _____

_____

PERSON DISCLOSING ECONOMIC ASSOCIATION: ☐ **Filer /** ☐ **Spouse/domestic partner /** ☐ **Child**

PERSON with WHOM ASSOCIATION EXISTS: _____

DESCRIPTION of ECONOMIC ASSOCIATION: _____

_____

2. FILERS WITH A LOBBYIST SPOUSE or DOMESTIC PARTNER NONE: check box → ☑

- EXEMPT: Local officials and members of state boards and commissions are exempt. Check NONE.
- STATE PUBLIC OFFICIALS with a lobbyist spouse or domestic partner: Report names and addresses of each employer of the lobbyist and the total monetary value received from each of the lobbyist's employers.
- LEGISLATIVE BRANCH filers with a lobbyist spouse or domestic partner: Disclose employer of lobbyist and compensation, and report details to the Legislative Ethics Committee.
- CHANGES: Report changes in lobbyist's employer within 48 hours of the change.

LOBBYIST'S EMPLOYER: NAME & ADDRESS	COMPENSATION

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"

ALASKA PUBLIC OFFICES COMMISSION
2013 FINANCIAL DISCLOSURE STATEMENT
Covers the reporting period Jan. 1, 2012– Dec. 31, 2012

CERTIFICATION

I certify under penalty of perjury that the foregoing is true and the information in this disclosure statement is, to the best of my knowledge, true, correct and complete. A person who makes a false sworn certification which he or she does not believe to be true is guilty of perjury.

SIGNATURE: _____

If you are filing online, you must have an Electronic Filing Agreement with APOC to use an electronic signature.

DANIEL J. HENRY — **NAME of FILER**

3/15/13 — **DATE & PLACE SIGNED / FILED**

All officials and candidates who are required to file disclosure statements are solely responsible for filing complete, accurate and truthful statements by the deadlines.

WHERE TO FILE THIS STATEMENT

STATE OFFICIALS: File initial, annual and final statements with the Alaska Public Offices Commission.

STATE CANDIDATES: File with the Division of Elections along with Declaration of Candidacy.

BOROUGH / MUNICIPAL / CITY OFFICIALS and CANDIDATES: File with city or borough clerk where you hold or seek office.

FILE ELECTRONICALLY to APOC: doa.apoc.reports@alaska.gov

THIS IS A PUBLIC DOCUMENT

NOTE: Public officials who are required to file this disclosure statement may have additional obligations to disclose conflicts of interest or potential conflicts under state executive, legislative or judicial ethics rules or personnel rules. Legislators who are required to file this disclosure statement have additional disclosure and reporting requirements imposed by the Select Committee on Legislative Ethics. Local officials may also be governed by local ethics ordinances or personnel rules.

Laws and regulations are online at http://doa.alaska.gov/apoc/ or are available from APOC offices.

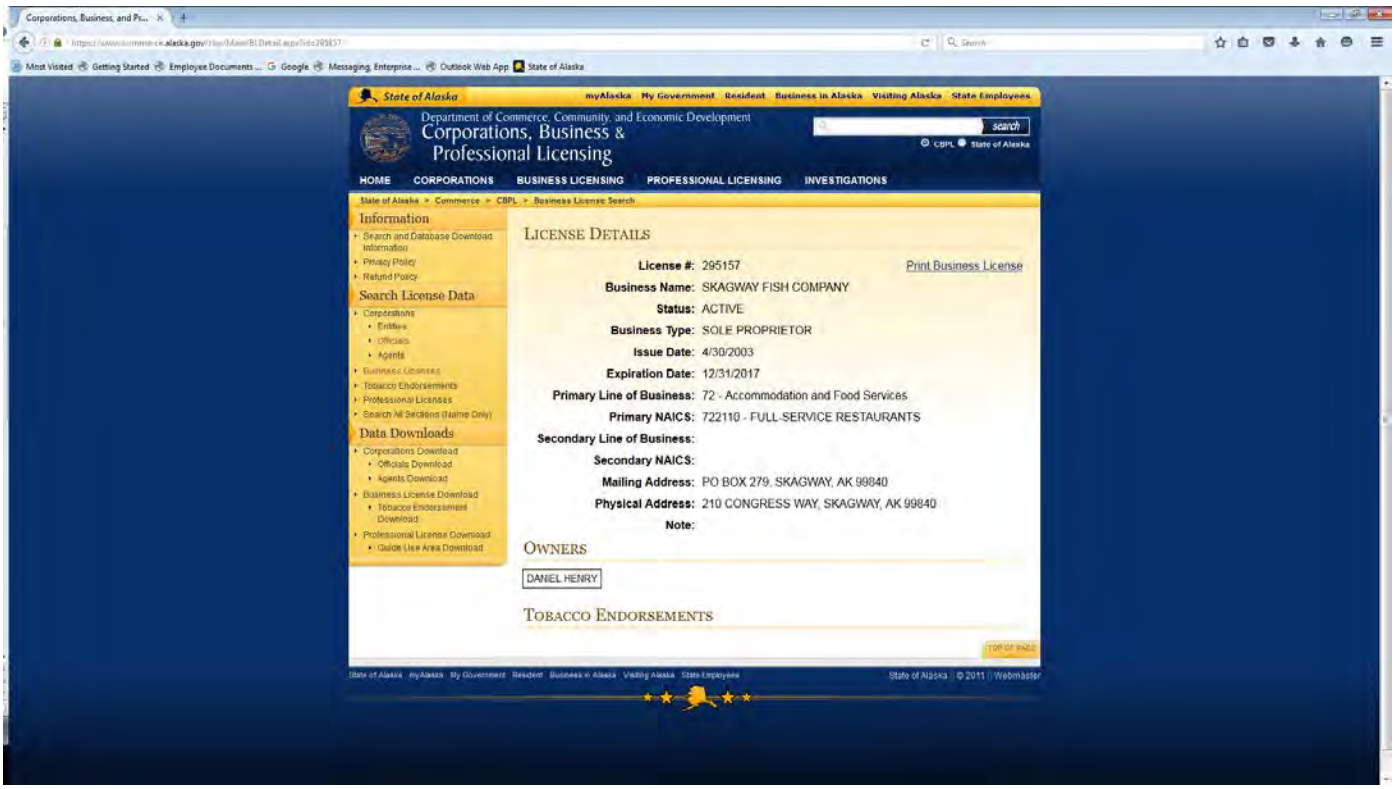
ALASKA PUBLIC OFFICES COMMISSION

ANCHORAGE OFFICE:
2221 E. Northern Lights Blvd – Room 128
Anchorage, AK 99508-4149
907-276-4176 / Toll-free 800-478-4176
Fax 907-276-7018

JUNEAU OFFICE:
240 Main St. – Room 500
Mail: P.O. Box 110222
Juneau, AK 99811-0222
907-465-4864 / Fax 907-465-4832

E-mail APOC: doa.apoc@alaska.gov
File electronic disclosure statements to: doa.apoc.reports@alaska.gov

GIVE DETAILED DESCRIPTIONS WHERE REQUESTED. USE EXTRA PAGES IF NECESSARY.	CHECK ALL BOXES THAT APPLY. For example, check multiple boxes for joint property owners	IF YOU HAVE NOTHING TO REPORT or A SECTION DOES NOT APPLY TO YOU, CHECK "NONE"


State of Alaska
myAlaska My Government Resident Business in Alaska Visiting Alaska State Employees
Department of Commerce, Community, and Economic Development
Corporations, Business & Professional Licensing
search
HOME CORPORATIONS BUSINESS LICENSING PROFESSIONAL LICENSING INVESTIGATIONS
State of Alaska > Commerce > CBPL > Business License Search
Information
Search and Database Download Information
Privacy Policy
Refund Policy
Search License Data
Data Downloads
LICENSE DETAILS
License #: 295157
Print Business License
Business Name: SKAGWAY FISH COMPANY
Status: ACTIVE
Business Type: SOLE PROPRIETOR
Issue Date: 4/30/2003
Expiration Date: 12/31/2017
Primary Line of Business: 72 - Accommodation and Food Services
Primary NAICS: 722110 - FULL-SERVICE RESTAURANTS
Secondary Line of Business:
Secondary NAICS:
Mailing Address: PO BOX 279, SKAGWAY, AK 99840
Physical Address: 210 CONGRESS WAY, SKAGWAY, AK 99840
Note:
OWNERS
DANIEL HENRY
TOBACCO ENDORSEMENTS

From:	Microsoft Outlook
To:	Dauphinais, Paul R (DOA)
Subject:	Delivered: 16-02-POFD, Roger Griffin v. Daniel Henry - Staff Report and Exhibit 1-7
Date:	Tuesday, April 12, 2016 8:23:43 AM
Importance:	High

Your message has been delivered to the following recipients:
HYPERLINK "mailto:paul.dauphinais@alaska.gov"Dauphinais, Paul R (DOA) (paul.dauphinais@alaska.gov)
Subject: 16-02-POFD, Roger Griffin v. Daniel Henry - Staff Report and Exhibit 1-7

From:	Microsoft Outlook
To:	taiyamarine@gmail.com; sfcalaska@hotmail.com
Subject:	Relayed: 16-02-POFD, Roger Griffin v. Daniel Henry - Staff Report and Exhibit 1-7
Date:	Tuesday, April 12, 2016 8:23:44 AM
Importance:	High

Delivery to these recipients or groups is complete, but no delivery notification was sent by the destination server:
HYPERLINK "mailto:taiyamarine@gmail.com"taiyamarine@gmail.com (taiyamarine@gmail.com)
HYPERLINK "mailto:sfcalaska@hotmail.com"sfcalaska@hotmail.com (sfcalaska@hotmail.com)
Subject: 16-02-POFD, Roger Griffin v. Daniel Henry - Staff Report and Exhibit 1-7