

# DOH PROGRAM 23 – TUBERCULOSIS AND CONGENITAL SYPHILIS PREVENTION GRANTS

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## **I. PROGRAM OBJECTIVES**

The Tuberculosis and Congenital Syphilis Prevention Grants are part of the Governor's Healthy Families Initiative. The initiative aims to reduce the incidence of tuberculosis and eliminate congenital syphilis over 10 years. The grants will increase capacity for screening and treatment in high-incidence regions of the state, engage communities and health care providers, complete community assessments, focus prevention efforts on the highest risk populations, and facilitate screening and treatment by addressing health related social needs such as temporary housing and transportation, as well as enhancing access to substance misuse services and mental health services.

## **II. PROGRAM PROCEDURES**

Funds are appropriated by the legislature and granted in accordance with 7 AAC 78 and AS 44.29.020; AS 47.05.010.

## **III. COMPLIANCE REQUIREMENTS AND SUGGESTED AUDIT PROCEDURES**

### **A. TYPES OF SERVICES ALLOWED OR UNALLOWED -**

- a) Activities and expenses related to Prevention, Screening and Treatment for TB are allowable.
- b) Unallowable costs include items that are not outside of what could be reasonably expected to accomplish the program goals.

### **2. Compliance Requirements:**

**Suggested Audit Procedures:** Test financial and related records to determine that funds expended were for purposes specified in the grant.

- a) Review grant Agreement (GA) including all conditions;
- b) Review grant revisions and amendments and related transmittal letters;
- c) Review licenses, certifications, approvals, status of private nonprofit corporation if applicable;
- d) Review budget documents including final revised budget and budget narrative.

### **3. Compliance Requirement:** Costs allowed or unallowed under this program are determined by grant regulations 7 AAC 78 as well as budget documents and special conditions.

**Suggested Audit Procedures:**

- a) Review Department of Health's grant regulations 7 AAC 78, and budget documents;

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- b) Test financial and related records to determine the appropriateness of costs per 7 AAC 78; and determine whether expenditures are within the budget limits prescribed by 7 AAC 78.260(f)(1).

### B. ELIGIBILITY -

- 1. Applicants are eligible per 7 AAC 78.030.

**Compliance Requirement:** Eligible applicants are those entities providing health services in regions experiencing disproportionately high levels of tuberculosis (TB) infection and/or disease.

**Suggested Audit Procedures:** Review current regional case rates (i.e., <https://health.alaska.gov/media/yziaca2z/2022-ak-tb-summary-brief.pdf>). .

### C. MATCHING, LEVEL OF EFFORT AND/OR EARMARKING REQUIREMENTS - Compliance Requirement:

There is no match requirement.

If grantees have a federally negotiated indirect rate, they may budget that percentage for indirect costs. If they do not have one, administrative costs of operating the grant are included in the budget as direct costs.

**Suggested Audit Procedures:**

- a) Confirm compliance with administrative cost limit.

### D. REPORTING REQUIREMENTS -

- 1. **Compliance Requirement:** Grantees must submit quarterly activity and expenditure reports no later than thirty (30) days after the close of each quarter and a final expenditure report within thirty (30) days of the last day of the grant year.

**Suggested Audit Procedures:**

- a) Confirm if such reports are being filed timely.
  - b) Reported revenues and expenditures agree with the agency's general ledger;
  - c) Expenditures are within the budget limits or grant provisions.
- 2. Review Alaska Statute 7 AAC 78 which outlines allowable costs.

- 3. **Compliance Requirement:** The agency must clearly show on their audit report any outstanding liability to the State as payable to the State. This includes unspent grant funds and disallowed costs.

**Suggested Audit Procedures:**

- a) Determine whether or not the agency has any outstanding liability to the State.

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- b) Reviews grant regulation 7 AAC 78.230.
- c) Review audit report to ensure proper presentation.

### **E. SPECIAL TESTS AND PROVISIONS - Compliance Requirement:**

No special tests or provisions for this program

### **Suggested Audit Procedures:**